STATE OF NORTH CAROLINA

Department of Agriculture
and Consumer Services

Invitation for Bid #: 10-IFB-009547

Food Items for NC Farm to School Program

Date Issued: December 9, 2020

Bid Opening Date: December 21, 2020
At 2:00 PM ET

Direct all inquiries concerning this IFB to:

Denise Blanchard
Procurement Manager
Email: denise.blanchard@ncagr.gov
Phone: (919) 707-3065
STATE OF NORTH CAROLINA

Invitation for Bids #10-IFB-009547

For internal State agency processing, including tabulation of bids in the Interactive Purchasing System (IPS), provide your company's Federal Employer Identification Number or alternate identification number (e.g. Social Security Number). Pursuant to G.S. 132-1.10(b) this identification number shall not be released to the public. This page will be removed and shredded, or otherwise kept confidential, before the procurement file is made available for public inspection.

This page shall be filled out and returned with your bid. Failure to do so shall be sufficient cause to reject your bid.

ID Number:

26-3067677

Federal ID Number or Social Security Number

NC Farm to School Cooperative

Vendor Name

Sealed, mailed or hand delivered responses ONLY will be accepted for this solicitation.
Refer ALL inquiries regarding this IFB to:

Denise Blanchard, Procurement Manager
Email: denise.blanchard@ncagr.gov
Phone: 919-707-3065

Invitation for Bids #10-IFB-009547

Bids will be publicly opened: December 21, 2020; 2:00pm ET

Contract Type: Agency Specific
Using Agency: NCDA&CS Food Distribution
Commodity No.: 50400 Fresh Vegetables
Requisition No.: N/A

EXECUTION

In compliance with this Invitation for Bids, and subject to all the conditions herein, the undersigned Vendor offers and agrees to furnish and deliver any or all items upon which prices are bid, at the prices set opposite each item within the time specified herein. By executing this bid, the undersigned Vendor certifies that this bid is submitted competitively and without collusion (G.S. 143-54), that none of its officers, directors, or owners of an unincorporated business entity has been convicted of any violations of Chapter 78A of the General Statutes, the Securities Act of 1933, or the Securities Exchange Act of 1934 (G.S. 143-59.2), and that it is not an ineligible Vendor as set forth in G.S. 143-59.1. False certification is a Class I felony. Furthermore, by executing this bid, the undersigned certifies to the best of Vendor’s knowledge and belief, that it and its principals are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from covered transactions by any Federal or State department or agency. As required by G.S. 143-48.5, the undersigned Vendor certifies that it, and each of its sub-Contractors for any Contract awarded as a result of this IFB, complies with the requirements of Article 2 of Chapter 64 of the NC General Statutes, including the requirement for each employer with more than 25 employees in North Carolina to verify the work authorization of its employees through the federal E-Verify system. G.S. 133-32 and Executive Order 24 (2009) prohibit the offer to, or acceptance by, any State Employee associated with the preparing plans, specifications, estimates for public Contract; or awarding or administering public Contracts; or inspecting or supervising delivery of the public Contract of any gift from anyone with a Contract with the State, or from any person seeking to do business with the State. By execution of this bid response to the IFB, the undersigned certifies, for Vendor’s entire organization and its employees or agents, that Vendor are not aware that any such gift has been offered, accepted, or promised by any employees or agents of Vendor’s organization.

Failure to execute/sign bid prior to submittal shall render bid invalid and it WILL BE REJECTED. Late bids cannot be accepted.

COMPLETE FORMAL NAME OF VENDOR:
Jana Shaw, President

STREET ADDRESS:
703 N. Main Street, Suite 100
Kinston, NC 28502

P.O. BOX:

CITY & STATE & ZIP: 27542

TELEPHONE NUMBER: 252-237-1260

TOLL FREE TEL. NO:

PRINCIPAL PLACE OF BUSINESS ADDRESS IF DIFFERENT FROM ABOVE (SEE INSTRUCTIONS TO VENDORS ITEM #12):

PRINT NAME & TITLE OF PERSON SIGNING ON BEHALF OF VENDOR:
Jana Shaw, President

FAX NUMBER:
252-237-6133

VENDOR'S AUTHORIZED SIGNATURE:

DATE: 1-15-20

EMAIL: Jshaw.0418.9w8@gmail.com

Offer valid for at least 60 days from date of bid opening, unless otherwise stated here: ______ days.

ACCEPTANCE OF BID

If any or all parts of this bid are accepted by the State of North Carolina, an authorized representative of NCDA&CS shall affix his/her signature hereto and this document and all provisions of this Invitation for Bid along with the Vendor bid response and the written results of any negotiations shall then constitute the written agreement between the parties. A copy of this acceptance will be forwarded to the successful Vendor(s).

FOR STATE USE ONLY: Offer accepted and Contract awarded this ____ day of ________, 20___, as indicated on the attached certification, by

(Authorized Representative of NCDA&CS).

Ver: 4/22/19
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1.0 PURPOSE AND BACKGROUND

The Department of Agriculture and Consumer Services, Food Distribution Division on behalf of NC Public Schools, is soliciting quotes to provide quality fresh fruits and vegetables, frozen fruits and frozen meat to North Carolina Schools.

The North Carolina Farm to School Program was formed in 1997 by the N.C. Department of Agriculture & Consumer Services (NCDA&CS) Food Distribution and Marketing divisions and the U.S. Department of Defense Produce Merchandising Office (DOD) to develop a system for North Carolina schools across the state to receive fresh produce grown by local farmers as well other food items.

Bids shall be submitted in accordance with the terms and conditions of this IFB and any addenda issued hereto.

2.0 GENERAL INFORMATION

2.1 INVITATION FOR BIDS DOCUMENT

The IFB is comprised of the base IFB document, any attachments, and any addenda released before Contract award. All attachments and addenda released for this IFB in advance of any Contract award are incorporated herein by reference.

2.2 E-PROCUREMENT SOLICITATION

ATTENTION: This is NOT an E-Procurement solicitation.

2.3 NOTICE TO VENDORS REGARDING IFB TERMS AND CONDITIONS

It shall be the Vendor’s responsibility to read the Instructions, the State’s terms and conditions, all relevant exhibits and attachments, and any other components made a part of this IFB, and comply with all requirements and specifications herein. Vendors are also responsible for obtaining and complying with all Addenda and other changes that may be issued concerning this IFB.

If Vendors have questions, issues, or exceptions regarding any term, condition, or other component within this IFB (including proposed alternate language), those must be submitted as questions in accordance with the instructions in Section 2.5. BID QUESTIONS. If the State determines that any changes will be made resulting from the questions asked, then such decisions will be communicated in the form of an IFB addendum. The State may also elect to leave open the possibility for post-contract negotiation and amendment of specific provisions of the Contract that have been addressed during the question and answer period. Other than through this process, the State rejects and will not be required to evaluate or consider any additional or modified terms and conditions submitted with Vendor’s bid. This applies to any language appearing in or attached to the document as part of the Vendor’s bid that purports to vary any terms and conditions or Vendors’ instructions herein or to render the bid non-binding or subject to further negotiation. Vendor’s bid shall constitute a firm offer. By execution and delivery of a bid in response to this IFB, the Vendor agrees that any additional or modified terms and conditions, whether submitted purposefully or inadvertently, shall have no force or effect, and will be disregarded. Noncompliance with, or any attempt to alter or delete, this paragraph shall constitute sufficient grounds to reject Vendor’s bid as nonresponsive. Any bid that contains language that indicates the bid is non-binding or subject to further negotiation before a contractual document may be signed shall be rejected.

Contact with anyone working for or with the State regarding this IFB other than the State Contract Lead named on the face page of this IFB or in the manner specified by this IFB shall constitute grounds for rejection of said Vendor’s offer, at the State’s election.

2.4 IFB SCHEDULE

The table below shows the intended schedule for this IFB. The State will make every effort to adhere to this schedule.

<table>
<thead>
<tr>
<th>Event</th>
<th>Responsibility</th>
<th>Date and Time</th>
</tr>
</thead>
<tbody>
<tr>
<td>Issue IFB</td>
<td>State</td>
<td>December 9, 2020</td>
</tr>
<tr>
<td>Submit Written Questions</td>
<td>Vendor</td>
<td>December 14, 2020; 2:00 PM ET</td>
</tr>
</tbody>
</table>
2.5 BID QUESTIONS

Upon review of the IFB documents, Vendors may have questions to clarify or interpret the IFB in order to submit the best bid possible. To accommodate the Bid Questions process, Vendors shall submit any such questions by the above due date.

Written questions shall be e-mailed to denise.blanchard@ncagr.gov by the date and time specified above. Vendors will enter "10-IFB-009547 – Questions" as the subject for the email. Questions submittals will include a reference to the applicable IFB section and be submitted in a format shown below:

<table>
<thead>
<tr>
<th>Reference</th>
<th>Vendor Question</th>
</tr>
</thead>
<tbody>
<tr>
<td>IFB Section, Page Number</td>
<td>Vendor question …?</td>
</tr>
</tbody>
</table>

Questions received prior to the submission deadline date, the State’s response, and any additional terms deemed necessary by the State will be posted in the form of an addendum to the Interactive Purchasing System (IPS), http://www.ips.state.nc.us, and shall become an Addendum to this IFB. No information, instruction or advice provided orally or informally by any State personnel, whether made in response to a question or otherwise concerning this IFB, shall be considered authoritative or binding. Vendors shall rely only on written material contained in an Addendum to this IFB.

2.6 BID SUBMITTAL

IMPORTANT NOTE: This is an absolute requirement. Vendor shall bear the risk for late submission due to unintended or unanticipated delay—whether delivered by hand, U.S. Postal Service, courier or other delivery service. It is the Vendor’s sole responsibility to ensure its bid has been submitted to this Office by the specified time and date of opening. The date and time of submission will be marked on each bid when received, and any bid received after the bid submission deadline will be rejected. Sealed bids, subject to the conditions made a part hereof, will be received at the address indicated in the table in this Section, for furnishing and delivering the commodity or service as described herein.

If applicable to this IFB, sealed bids, subject to the conditions made a part hereof and the submission requirements described below, shall be delivered to the physical address indicated in the table below, for furnishing and delivering those items or Services as described herein.

Vendors shall deliver to the address identified in the table above: one (1) paper response.

Bids shall be marked on the outside of the sealed envelope with the Vendor’s name, IFB number and date and time of opening. If Vendor is submitting more than one bid, each bid shall be submitted in separate sealed envelope and marked accordingly. For delivery purposes, separate sealed bids from a single Vendor may be included in the same outer package. Do not include bids for more than one solicitation in the same package.

<table>
<thead>
<tr>
<th>MAILING ADDRESS FOR DELIVERY OF BID VIA U.S. POSTAL SERVICE</th>
<th>OFFICE ADDRESS FOR DELIVERY BY ANY OTHER MEANS, SPECIAL DELIVERY, HAND DELIVERY, OVERNIGHT DELIVERY OR BY ANY OTHER CARRIER</th>
</tr>
</thead>
<tbody>
<tr>
<td>BID NUMBER: 10-IFB-009547</td>
<td>BID NUMBER: 10-IFB-009547</td>
</tr>
<tr>
<td>NCDA&amp;C5 Purchasing</td>
<td>NCDA&amp;C5 Purchasing</td>
</tr>
<tr>
<td>Attn: Denise Blanchard</td>
<td>Attn: Denise Blanchard</td>
</tr>
<tr>
<td>1001 Mall Service Center</td>
<td>2 West Edenton Street, Room 412</td>
</tr>
<tr>
<td>Raleigh, NC 27699-1001</td>
<td>Raleigh, NC 27601</td>
</tr>
</tbody>
</table>

Note that the U.S. Postal Service does not deliver mail to the specified office address but to the State’s Mail Service Center. Vendors are cautioned that bids sent via U.S. Mail, including Express Mail, may not be delivered by the Mail Service Center to the agency’s purchasing office on the due date in time to meet the bid deadline. All Vendors
are urged to take the possibility of delay into account when submitting a bid. **Attempts to submit a bid via facsimile (FAX) machine, telephone or e-mail, in response to this IFB shall NOT be accepted.**

Failure to submit a bid in strict accordance with these instructions shall constitute sufficient cause to reject a Vendor's bid(s).

Critical updated information may be included in Addenda to this IFB. It is important that all Vendors bidding on this IFB periodically check the State’s IPS website for any Addenda that may be issued prior to the bid opening date. All Vendors shall be deemed to have read and understood all information in this IFB and all Addenda thereto.

Contact with anyone working for or with the State regarding this IFB other than the State Contract Lead named on the face page of this IFB in the manner specified by this IFB shall constitute grounds for rejection of said Vendor's offer, at the State’s election.

### 2.7 BID CONTENTS

Vendors shall populate all attachments of this IFB that require the Vendor to provide information and shall include an authorized signature where requested. Vendor response to this IFB shall include each of the following items and these items should be arranged in the following order:

a) **Completed and signed version of EXECUTION PAGE,** along with the body of the IFB and signed receipt pages of any addenda released in conjunction with this IFB (if required to be returned).

b) **Completed version of ATTACHMENT A: PRICING FORM**

c) **ATTACHMENT B: INSTRUCTIONS TO VENDORS**

d) **ATTACHMENT C: NORTH CAROLINA GENERAL CONTRACT TERMS AND CONDITIONS**

e) **Completed version of ATTACHMENT D: LOCATION OF WORKERS UTILIZED BY VENDOR**

f) **Completed and signed version of ATTACHMENT E: CERTIFICATION OF FINANCIAL CONDITION**

g) **Completed and signed version of ATTACHMENT F: SUPPLEMENTAL VENDOR INFORMATION**

h) **Other completed sections or information the Vendor is required to provide as stated in this document.**

### 2.8 ALTERNATE BIDS

Vendor may submit alternate bids for various methods or levels of service(s) or that propose different options, in addition to its principal bid. Alternate bids must specifically identify the IFB requirements and advantage(s) addressed by the alternate bid. Any alternate bid, in addition to the marking described above, must be clearly marked with the legend: "Alternate Bid #10-IFB-009502 for ("name of Vendor") each bid must be for a specific set of goods and must include specific pricing. If a Vendor chooses to respond with various offerings, each must be offered with a separate price and be contained in a separate bid document. Each bid must be complete and independent of other bids offered.

### 2.9 DEFINITIONS, ACRONYMS, AND ABBREVIATIONS

a) **AGENCY SPECIFIC TERM CONTRACT:** A contract generally intended to cover all normal requirements for a commodity for a specified period of time based on estimated quantities for a single entity.

b) **BAFO:** Best and Final Offer, submitted by a Vendor to alter its initial bid, made in response to a request by the issuing agency.

c) **BUYER:** The employee of the State or Other Eligible Entity that places an order with the Vendor.

d) **COMMUNITY COLLEGE:** Any of the fifty-eight (58) public North Carolina Community Colleges.

e) **CONTRACT ADMINISTRATOR:** Representative of NCDA&CS who will administer the contract for the State after award.

f) **CONTRACT LEAD:** Representative of NCDA&CS identified on the first page of this IFB who will correspond with potential Vendors concerning solicitation issues and will contract with the Vendor providing the best offer to the State, and is the individual who will administer The Contract for the State.
g) **E-PROCUREMENT SERVICES:** The program, system, and associated services through which the State conducts electronic procurement.

h) **FOB-DESTINATION:** Title changes hand from Vendor to purchaser at the destination point of the shipment; Vendor owns commodity in transit and files any claims, and Vendor pays all freight and any related transportation charges. A solicitation may request a Vendor to separately identify freight charges in its bid, but no amount or charge not included as part of the total bid price will be paid.

i) **IFB:** Invitation for Bids.

j) **ON-TIME DELIVERY:** The delivery of all items within a single order to the receiving point designated by the ordering entity within the delivery time required.

k) **QUALIFIED BID:** A responsive bid submitted by a responsible Vendor.

l) **STATE:** The State of North Carolina, including any of its sub-units recognized under North Carolina law.

m) **STATE AGENCY:** Any of the more than 400 sub-units within the executive branch of the State, including its departments, boards, commissions, institutions of higher education and other institutions.

n) **STATE DEPARTMENTS:** Department of Administration, Department of Agriculture, Department of Commerce, Department of Cultural an Natural Resources, Department of Environmental Quality, Department of Health and Human Services, Department of Information Technology, Department of Insurance, Department of Justice, Department of Labor, Department of Military and Veteran Affairs, Department of Public Instruction, Department of Public Safety, Department of Revenue, Department of State Treasurer, Department of the Secretary of the State, Department of Transportation, Wildlife Resources Commission, Office of Budget and Management, Office of the Governor, Office of the Lieutenant Governor, Office of The State Auditor, Office of the State Controller.

o) **THE CONTRACT:** A contract resulting from or arising out of Vendor responses to this solicitation document.

p) **VENDOR:** Supplier, bidder, proposer, company, firm, corporation, partnership, individual or other entity submitting a response to an Invitation for Bids. Following award of a contract, the term refers to an entity receiving such an award.

### 3.0 METHOD OF AWARD AND BID EVALUATION PROCESS

#### 3.1 METHOD OF AWARD

Contracts will be awarded in accordance with G.S. 143-52 and the evaluation criteria set out in this solicitation. Prospective Vendors shall not be discriminated against on the basis of any prohibited grounds as defined by Federal and State law.

All qualified bids will be evaluated and award or awards will be based on the qualified bid(s) offering the lowest price that meets the requirements set out herein.

While the intent of this IFB is to award a Contract(s) to a single Vendor for all line items, the State reserves the right to make separate awards to different Vendors for one or more line items, to not award any portion of the goods or services or to cancel this IFB in its entirety without awarding a contract, if it is considered to be most advantageous to the State to do so.

The status of a Vendor’s E-Procurement Services account(s) shall be considered a relevant factor in determining whether to approve the award of a contract under this IFB. Any Vendor with an E-Procurement Services account that is in arrears by 91 days or more at the time of bid opening may, at the State’s discretion, be disqualified from further evaluation or consideration.

The State reserves the right to waive any minor informality or technicality in bids received.

#### 3.2 CONFIDENTIALITY AND PROHIBITED COMMUNICATIONS DURING EVALUATION

During the evaluation period—from the date bids are opened through the date the contract is awarded—each Vendor submitting a bid (including its representatives, sub-contractors and/or suppliers) is prohibited from having any communications with any person inside or outside the using agency, issuing agency, other government agency office,
or body (including the purchaser named above, department secretary, agency head, members of the general assembly and/or governor's office), or private entity, if the communication refers or relates to the content of Vendor's bid or qualifications, the contents of another Vendor's bid, another Vendor's qualifications or ability to perform the contract, or the transmittal of any other communication of information that could be reasonably considered to have the effect of directly or indirectly influencing the evaluation of bids or the award of the contract. A Vendor not in compliance with this provision shall be disqualified from contract award, unless the State makes a written determination, in its discretion, that the communication was harmless, that it was made without intent to influence and that the best interest of the State would not be served by the disqualification. If a Vendor, its sub-contractor or supplier engage in any of the foregoing communications during the time that the solicitation is open (i.e., the issuance date of the procurement to the date of bid opening), such conduct shall constitute sufficient cause to disqualify the Vendor's bid. Only those discussions, communications or transmittals of information authorized or initiated by the issuing agency for this IFB or general inquiries directed to the Contract Lead named in the IFB and regarding requirements of the IFB (prior to bid submission) or the status of the contract award (after submission) are excepted from this provision.

3.3 BID EVALUATION PROCESS

The State shall review all Vendor responses to this IFB to confirm that each one complies with the specifications and requirements of the IFB.

a) Bids are requested for the items as specified, or item(s) equivalent in design, function and performance. The State reserves the right to reject any bid on the basis of fit, form and function as well as cost. All information furnished on this bid may be used as a factor in determining the award of this contract.

The State will conduct an evaluation of Bids, as follows:

Bids will be received from each responsive Vendor in the method stated in section 2.6.

All bids shall be received by the issuing agency not later than the date and time specified on the cover sheet of this IFB, or as modified by a bid addendum.

At the date and time specified as the bid opening, the bid responses from each responding Vendor will be opened publicly and the name of the Vendor and bid’s total cost will be announced. Interested parties are cautioned that these costs and their components are subject to further evaluation for completeness and correctness and therefore may not be an exact indicator of a Vendor’s pricing position.

At its option, the State may request clarifications, oral presentations or discussion with any or all Vendors in order to clarify or to amplify the materials presented in any part of the bid or requested in the IFB. Vendors are cautioned, however, that the State is not required to request presentations or other clarification—and often does not. Therefore, all bids should be complete and reflect the most favorable terms available from the Vendor. Prices bid cannot be altered or modified as part of a clarification.

Bids will generally be evaluated, based on completeness, content, cost and responsibility of the Vendor to supply the requested goods and services. Specific evaluation criteria are listed in Section 3.1 METHOD OF AWARD.

The State reserves the right to reject all original offers and request one or more of the Vendors submitting bids within a competitive range to submit a best and final offer (BAFO), based on discussions and negotiations with the State, if the initial responses to the IFB have been evaluated and determined to be unsatisfactory.

Upon completion of the evaluation process, the State will make Award(s) based on the evaluation and post the award(s) to IPS under the IFB number for this solicitation. Award of a Contract to one Vendor does not mean that the other bids lacked merit, but that, all factors considered, the selected bid was deemed most advantageous and represented the best value to the State.

Vendors are cautioned that this is a request for offers, not an offer or request to contract, and the State reserves the unqualified right to reject any and all bids at any time if such rejection is deemed to be in the best interest of the State.
3.4 PERFORMANCE OUTSIDE THE UNITED STATES

Vendor shall complete ATTACHMENT D: LOCATION OF WORKERS UTILIZED BY VENDOR. In addition to any other evaluation criteria identified in this IFB, the State may also consider—for purposes of evaluating proposed or actual contract performance outside of the United States and to ensure that any award will be in the best interest of the State—how that performance may affect or be affected by the following factors:

a) Total cost to the State
b) Level of quality provided by the Vendor
c) Process and performance capability across multiple jurisdictions
d) Protection of the State’s information and intellectual property
e) Availability of pertinent skills
f) Ability to understand the State’s business requirements and internal operational culture
g) Particular risk factors such as the security of the State’s information technology
h) Relations with citizens and employees
i) Contract enforcement jurisdictional issues

3.5 INTERPRETATION OF TERMS AND PHRASES

This Invitation for Bids serves two functions: (1) to advise potential Vendors of the parameters of the solution being sought by the Department; and (2) to provide (together with other specified documents) the terms of the Contract that results from this procurement. As such, all terms in the Invitation for Bids shall be enforceable as contract terms in accordance with the General Contract Terms and Conditions. The use of phrases such as “shall,” “must,” and “requirements” are intended to create enforceable contract conditions. In determining whether bids should be evaluated or rejected, the Department will take into consideration the degree to which Vendors have proposed or failed to propose solutions that will satisfy the Department’s needs as described in the Invitation for Bids. Except as specifically stated in the Invitation for Bids, no one requirement shall automatically disqualify a Vendor from consideration. However, failure to comply with any single requirement, if determined to be essential under the circumstances then existing, may result in the Department exercising its discretion to reject a bid in its entirety.

4.0 REQUIREMENTS

This Section lists the requirements related to this IFB. By submitting a bid, the Vendor agrees to meet all stated requirements in this Section as well as any other specifications, requirements and terms and conditions stated in this IFB. If a Vendor is unclear about a requirement or specification or believes a change to a requirement would allow for the State to receive a better bid, the Vendor is urged and cautioned to submit these items in the form of a question during the question and answer period in accordance with Section 2.5.

4.1 CONTRACT ADMINISTRATOR

Ted Fogleman, or designee, of the Food Distribution Division, is the contact person for the Department only after an award has been made. He may be reached at (919) 575-4490 or Ted.Fogleman@ncagr.gov

4.2 CONTRACT TERM

This is an Agency Specific Term Contract beginning on the date of contract award (the “Effective Date”) through April 11, 2021. Quantities are estimates and the State reserves the right to increase or decrease quantities as needed. No quantities are guaranteed. Only actual quantities utilized will be paid.

4.3 PRICING

Bid price shall constitute the total cost to the State for delivery fully assembled and ready for use, including all applicable charges for shipping, delivery, handling, administrative and other similar fees. Vendor shall not invoice for any amounts not specifically allowed for in this IFB. Complete ATTACHMENT A: PRICING FORM and include in Bid.

4.4 INVOICES

Vendor shall invoice the Ordering Entity. The standard format for invoicing shall be Single Invoices meaning that the Vendor shall provide the Buyer with an invoice for each order. Invoices shall include detailed line item information to
allow Buyer to verify pricing at point of receipt matches the correct price from the original date of order. At a minimum, the following fields shall be included on all invoices:

Vendor’s Billing Address, Customer Account Number, NC Contract Number, Order Date, Buyer’s Order Number, Manufacturer Part Numbers, Vendor Part Numbers, Item Descriptions, Price, Quantity, and Unit of Measure.

4.5 PRODUCT IDENTIFICATION

SUITABILITY FOR INTENDED USE

Vendors are requested to offer only comparable items which will provide the equivalent capabilities, features and diversity called for herein. The State reserves the right to evaluate all bids for suitability for the required use and to award the one best meeting requirements and considered to be in the State’s best interest.

4.6 TRANSPORTATION AND IDENTIFICATION

The Vendor shall deliver Free-On-Board (FOB) Destination to one of the Food Distribution warehouses below with all transportation costs listed as a separate item. At the discretion of the Department, the items may be picked up from another predetermined location after award.

Salisbury Warehouse
160 Circle M Drive
Salisbury, NC 28147

Creedmoor Warehouse
1015 Food Distribution Drive
Creedmoor, NC 27522

4.7 QUANTITY

All product quantities listed in the IFB are estimates based on the State’s historical and anticipated needs. The State shall not be obligated to purchase the amount represented by the estimated quantities contained herein or any other quantities.

4.8 FINANCIAL STABILITY

Each Vendor shall certify it is financially stable by completing the ATTACHMENT F: CERTIFICATION OF FINANCIAL CONDITION. The State is requiring this certification to minimize potential performance issues from Contracting with a Vendor that is financially unstable. This Certification shall be deemed continuing, and from the date of the Certification to the expiration of the Contract, the Vendor shall notify the State within thirty (30) days of any occurrence or condition that materially alters the truth of any statement made in this Certification.

4.9 INSURANCE

During the term of the contract, the contractor at its sole cost and expense shall provide commercial insurance of such type and with such terms and limits as may be reasonably associated with the contract. As a minimum, the contractor shall provide and maintain insurance as per Paragraph 15 of the Terms and Conditions.

5.0 PRODUCT SPECIFICATIONS

5.1 FOOD DISTRIBUTION RESPONSIBILITES

1. Notify the Vendor of the quantities 2-4 weeks in advance of the delivery.
2. Coordinating the delivery of fresh produce/food items from the Vendor. Coordinating the pick-up of fresh produce/food items if the Department makes the pick-up as described in 4.6.
3. The State reserves the right to modify pick-up dates, based on seasonality (if product comes in sooner or later than date stated in RFQ).
4. Schools shall have twenty-four (24) hours from time of delivery to report any problem to NCDA&CS. Will notify the vendor of any issues.
5. Invoicing to schools and payments to vendor.

5.2 VENDOR REQUIREMENTS

1. Vendor shall provide current proof of GAP third party audit with Bid, if applicable.
2. Vendor shall provide current proof of HACCP or HARPC third party audit with Bid, if applicable.
3. All meat products must be harvested and packaged in a USDA or state inspected facility. Packaging must bear mark of inspection.

5.3 VENDOR RESPONSIBILITIES

1. All fresh produce shipped to the Farm to School program will be required to have a USDA fruit and vegetable inspection and meet the minimum grade of a US Number 1 prior to shipment.
2. NCDAC&CS prefers “NC Goodness Grows” membership.
3. All produce is to be the current season’s harvest unless otherwise noted in the description.
4. Product shall be identified by label indicating the farm from which it came. If the cases of the product do not have the name of the farm it came from, the product will be refused.
5. Product shall be held at the proper temperature as noted in product specifications to begin the cold chain and the cold chain shall not be broken.
6. Notification of quantities shall be provided to Vendor a minimum of two weeks and a maximum of four weeks before required delivery/pickup from the Vendor. NCDAC&CS and NC Schools reserve the right to change the quantity of produce indicated herein within seventy-two (72) hours of delivery/pickup from Vendor with no change in the price offered.
7. In the event the Vendor is unable to fulfill the contract, notification shall be submitted to the NCDAC&CS Marketing Specialist in table listed below immediately prior to delivery/pickup or by 12:00 noon on the day before scheduled delivery/pickup of the product.

<table>
<thead>
<tr>
<th>Marketing Specialist</th>
<th>Commodities</th>
<th>Phone Number</th>
</tr>
</thead>
<tbody>
<tr>
<td>Tommy Fleetwood</td>
<td>Broccoli crowns, romaine lettuce, collards, cabbage, kale</td>
<td>252-331-4773</td>
</tr>
<tr>
<td>Nick Augustini</td>
<td>Watermelons, cantaloupes</td>
<td>919-707-3125</td>
</tr>
<tr>
<td>Tony Haywood</td>
<td>Apples, tomatoes, apple slices, Asian Pear, peaches, cucumbers, frozen ground beef</td>
<td>828-253-1691</td>
</tr>
<tr>
<td>Dexter Hill</td>
<td>Blueberries</td>
<td>252-527-7125</td>
</tr>
<tr>
<td>Christina Harvey</td>
<td>Strawberries</td>
<td>919-707-3147</td>
</tr>
<tr>
<td>Heather Barnes</td>
<td>Sweet potatoes, frozen blueberry and blueberry blend products</td>
<td>919-707-3127</td>
</tr>
</tbody>
</table>

8. In the event of a product recall of a delivered item, the successful Vendor will immediately notify NCDAC&CS Food Distribution.
9. The successful Vendor shall have produce delivered/picked up by designated date. If produce will be picked up, produce shall be ready at the pickup point designated by Food Distribution.
10. Exact quantities cannot be guaranteed by NCDAC&CS at time of award. Quantities are our best estimates for the quarter. Schools will submit their orders after award.

5.4 DEVIATIONS

The nature of all deviations from the Specifications and Requirements listed herein shall be clearly described by the Vendor. Otherwise, it will be considered that items offered by the Vendor are in strict compliance with the Specifications and Requirements, and the successful Vendor shall be held responsible to supply conforming goods. Deviations shall be explained in detail below or on an attached sheet. However, no implication is made or intended by the State that any deviation will be acceptable. Do not list objections to the General Contract Terms and Conditions in this section.
5.5 VENDOR’S REPRESENTATIONS

a) Vendor warrants that qualified personnel shall provide all services that may be required under The Contract in a professional manner. “Professional manner” means that the personnel performing the services shall possess the skill and competence consistent with at least the prevailing business standards in the industry. Vendor agrees that it shall not enter any agreement with a third party that may abridge any rights of the State under The Contract. Vendor shall serve as the prime contractor under The Contract and shall be responsible for the performance and payment of all subcontractor(s) that may be approved by the State. Names of any third-party Vendors or subcontractors of Vendor may appear for purposes of convenience in Contract documents; and shall not limit Vendor’s obligations hereunder.

b) If any goods, services, functions, or responsibilities not specifically described in The Contract are required for Vendor’s proper performance, provision and delivery of the goods and services under The Contract, or are an inherent part of or necessary sub-requirement included within such goods and services, they will be deemed to be implied by and included within the scope of the contract to the same extent and in the same manner as if specifically described in the contract. Unless otherwise expressly provided herein, Vendor will furnish all of its own necessary management, supervision, labor, facilities, furniture, computer and telecommunications equipment, software, supplies and materials necessary for the Vendor to provide and deliver the goods and services.

c) Vendor warrants that it has the financial capacity to perform and to continue perform its obligations under the contract; that Vendor has no constructive or actual knowledge of an actual or potential legal proceeding being brought against Vendor that could materially adversely affect performance of The Contract; and that entering into The Contract is not prohibited by any contract, or an order by any court of competent jurisdiction.

6.0 CONTRACT ADMINISTRATION

6.1 PROJECT MANAGER AND CUSTOMER SERVICE

The Vendor shall designate and make available to the State a single point of contact for contract related issues and issues concerning performance, progress review, scheduling and any service required.

6.2 DISPUTE RESOLUTION

The parties agree that it is in their mutual interest to resolve disputes informally. A claim by the Vendor shall be submitted in writing to the State’s Contract Lead for resolution. A claim by the State shall be submitted in writing to the Vendor’s Project Manager for resolution. The Parties shall negotiate in good faith and use all reasonable efforts to resolve such dispute(s). During the time the Parties are attempting to resolve any dispute, each shall proceed diligently to perform their respective duties and responsibilities under The Contract. If a dispute cannot be resolved between the Parties within thirty (30) days after delivery of notice, either Party may elect to exercise any other remedies available under The Contract, or at law. This term shall not constitute an agreement by either party to mediate or arbitrate any dispute.

6.3 CONTRACT CHANGES

Contract changes, if any, over the life of the contract shall be implemented by contract amendments agreed to in writing by the State and Vendor.

6.4 PRODUCT RECALL

Vendor expressly assumes full responsibility for prompt notification to the Buyer listed on the face of this IFB of any product recall in accordance with the applicable state or federal regulations. The Vendor shall support the State, as necessary, to promptly replace any such products, at no cost to the State.
ATTACHMENT A: PRICING

Vendor is a member of "NC Goodness Grows" [check applicable box]

✓ YES  □ NO

FURNISH AND DELIVER:

<table>
<thead>
<tr>
<th>ITEM</th>
<th>DESCRIPTION</th>
<th>QTY.</th>
<th>UNIT</th>
<th>UNIT PRICE</th>
<th>EXTENDED PRICE</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.</td>
<td><strong>Frozen Berry Medley</strong> <em>(Blueberry, Strawberry and Blackberry)</em></td>
<td>100</td>
<td>Cases</td>
<td>$29.95</td>
<td>$2,995.00</td>
</tr>
<tr>
<td></td>
<td><strong>Packed:</strong> Frozen, Blend of 45% blueberry, 38% Strawberry, and 17% Blackberry packed in 3 lb. bags. Six (6) three-pound bags per case, Case net weight – 18 lbs.</td>
<td></td>
<td></td>
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</tr>
<tr>
<td></td>
<td><strong>Quality:</strong> produce must be frozen using Individual Quick Freeze (IQF) method, must have been packaged within last 12 months, no preservatives, held at temperatures at or below 0 degrees Fahrenheit.</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td><strong>Delivery/Pick Up:</strong> Frozen Berry Medley, <strong>100 cases on April 8, 2021.</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2.</td>
<td><strong>Frozen Blueberries</strong></td>
<td>100</td>
<td>Cases</td>
<td>$22.32</td>
<td>$2,232.00</td>
</tr>
<tr>
<td></td>
<td><strong>Packed:</strong> Frozen packed in six (6) three-pound bags per case.</td>
<td></td>
<td></td>
<td></td>
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</tr>
<tr>
<td></td>
<td><strong>Quality:</strong> produce must be frozen using Individual Quick Freeze (IQF) method, must have been packaged within last 12 months, no preservatives, held at temperatures at or below 0 degrees Fahrenheit.</td>
<td></td>
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<tr>
<td></td>
<td><strong>Delivery/Pick Up:</strong> Frozen Blueberries, <strong>100 cases on February 4, 2021.</strong></td>
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<td></td>
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<tr>
<td>ITEM</td>
<td>DESCRIPTION</td>
<td>QTY.</td>
<td>UNIT</td>
<td>UNIT PRICE</td>
<td>EXTENDED PRICE</td>
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</tr>
<tr>
<td>3.</td>
<td>Sweet Potatoes</td>
<td>2400</td>
<td>Cases</td>
<td>$14.25</td>
<td>$3,460.00</td>
</tr>
<tr>
<td></td>
<td><strong>Packed:</strong> 8-12- ounces, 65-70 count per case, 40-pound case.</td>
<td></td>
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<tr>
<td></td>
<td><strong>Quality:</strong> U.S. No. 1 Covington variety, sweet potatoes must be cured, must be current season crop, handling temperature 55-60 degrees from harvest to destination.</td>
<td></td>
<td></td>
<td></td>
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<tr>
<td></td>
<td><strong>Delivery/Pickup:</strong> Sweet Potatoes, 700 cases on February 18, 2021.</td>
<td></td>
<td></td>
<td></td>
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</tr>
<tr>
<td></td>
<td><strong>Delivery/Pickup:</strong> Sweet Potatoes, 700 cases on March 4, 2021.</td>
<td></td>
<td></td>
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<tr>
<td></td>
<td><strong>Delivery/Pickup:</strong> Sweet Potatoes, 500 cases on March 18, 2021.</td>
<td></td>
<td></td>
<td></td>
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<tr>
<td></td>
<td><strong>Delivery/Pickup:</strong> Sweet Potatoes, 500 cases on April 8, 2021.</td>
<td></td>
<td></td>
<td></td>
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</tr>
<tr>
<td>4.</td>
<td>Kale</td>
<td>300</td>
<td>Cases</td>
<td>$12.50</td>
<td>$3,750.00</td>
</tr>
<tr>
<td></td>
<td><strong>Packed:</strong> in six (6) 2 lb. bags, 2x2 diced cut, chlorinated wash, trimmed and destemmed, Pre-cooled and stored at 40 degrees. Must be top iced.</td>
<td></td>
<td></td>
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</tr>
<tr>
<td></td>
<td><strong>Delivery/Pickup:</strong> Kale, 300 cases on April 8, 2021.</td>
<td></td>
<td></td>
<td></td>
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</tr>
<tr>
<td>5.</td>
<td>Collards</td>
<td>300</td>
<td>Cases</td>
<td>$12.50</td>
<td>$3,750.00</td>
</tr>
<tr>
<td></td>
<td><strong>Packed:</strong> in six (6) 2lb. bags, 2x2 diced cut, chlorinated wash, trimmed and destemmed, pre-cooled and stored at 40 degrees F. Must be top iced.</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td><strong>Delivery/Pickup:</strong> Collards, 300 cases on April 8, 2021.</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>ITEM</td>
<td>DESCRIPTION</td>
<td>QTY.</td>
<td>UNIT</td>
<td>UNIT PRICE</td>
<td>EXTENDED PRICE</td>
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<td>----------------</td>
</tr>
<tr>
<td>6.</td>
<td>Frozen Berry Medley (Blueberry and Strawberry)</td>
<td>100</td>
<td>Cases</td>
<td>$29.88</td>
<td>$2,988.00</td>
</tr>
<tr>
<td></td>
<td><strong>Packed:</strong> Frozen, Blend of 55% blueberry, 45% Strawberry packed in 3 lb. bags. Six (6) three-pound bags per case, Case net weight – 18 lbs.</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td><strong>Quality:</strong> produce must be frozen using Individual Quick Freeze (IQF) method, must have been packaged within last 12 months, no preservatives, held at temperatures at or below 0 degrees Fahrenheit.</td>
<td></td>
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</tr>
<tr>
<td></td>
<td><strong>Delivery/Pick Up:</strong> Frozen Berry Medley, 100 cases on January 20, 2021.</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>7.</td>
<td>Frozen Ground Beef</td>
<td>300</td>
<td>Cases</td>
<td>$77.00</td>
<td>$23,100.00</td>
</tr>
<tr>
<td></td>
<td><strong>Packed:</strong> 10-pound chubs, 2 frozen chubs per case.</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td><strong>Quality:</strong> Frozen in 10-pound chubs, 80/20, no fillers, preservatives or other additives, held at 32 degrees Fahrenheit or below.</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td><strong>Delivery/Pick Up:</strong> Frozen Ground Beef, 100 Cases on February 1, 2021.</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td><strong>Delivery/Pick Up:</strong> Frozen Ground Beef, 100 Cases on March 1, 2021.</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td><strong>Delivery/Pick Up:</strong> Frozen Ground Beef, 100 Cases on April 1, 2021.</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>TOTAL ALL PRODUCTS</td>
<td></td>
<td></td>
<td>$82,680.00</td>
<td></td>
</tr>
</tbody>
</table>
### SHIPPING COSTS

For evaluation purposes, please state one-time delivery cost for all items for dates listed.

<table>
<thead>
<tr>
<th>ITEM</th>
<th>DESCRIPTION</th>
<th>DELIVERY/PICKUP</th>
<th>EXTENDED PRICE</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>February 1, 2021</td>
<td>$130.00</td>
</tr>
<tr>
<td></td>
<td></td>
<td>February 4, 2021</td>
<td>$90.00</td>
</tr>
<tr>
<td></td>
<td></td>
<td>February 18, 2021</td>
<td>$90.00</td>
</tr>
<tr>
<td></td>
<td></td>
<td>March 1, 2021</td>
<td>$130.00</td>
</tr>
<tr>
<td></td>
<td></td>
<td>March 4, 2021</td>
<td>$90.00</td>
</tr>
<tr>
<td></td>
<td></td>
<td>March 18, 2021</td>
<td>$90.00</td>
</tr>
<tr>
<td></td>
<td></td>
<td>April 1, 2021</td>
<td>$130.00</td>
</tr>
<tr>
<td></td>
<td></td>
<td>April 8, 2021</td>
<td>$160.00</td>
</tr>
</tbody>
</table>

**TOTAL ALL SHIPPING**  
$930.00

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<table>
<thead>
<tr>
<th>ITEM</th>
<th>DESCRIPTION</th>
<th>EXTENDED PRICE</th>
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</thead>
<tbody>
<tr>
<td></td>
<td>TOTAL ALL PRODUCTS</td>
<td>$82,608.00</td>
</tr>
<tr>
<td></td>
<td>TOTAL ALL SHIPPING</td>
<td>$930.00</td>
</tr>
<tr>
<td></td>
<td>GRAND TOTAL</td>
<td>$83,538.00</td>
</tr>
</tbody>
</table>
ATTACHMENT B: INSTRUCTIONS TO VENDORS

1. **READ, REVIEW AND COMPLY:** It shall be the Vendor's responsibility to read this entire document, review all enclosures and attachments, and any addenda thereto, and comply with all requirements specified herein, regardless of whether appearing in these Instructions to Vendors or elsewhere in this IFB document.

2. **LATE BIDS:** Late bids, regardless of cause, will not be opened or considered, and will automatically be disqualified from further consideration. It shall be the Vendor's sole responsibility to ensure the timely delivery of bids at the designated office by the designated time.

3. **ACCEPTANCE AND REJECTION:** The State reserves the right to reject any and all bids, to waive any informality in bids and, unless otherwise specified by the Vendor, to accept any item in the bid. If either a unit price or an extended price is obviously in error and the other is obviously correct, the incorrect price will be disregarded. Regardless of error or omission, a Vendor shall not be permitted to increase its pricing after the deadline for submitting bids.

4. **BASIS FOR REJECTION:** Pursuant to 01 NCAC 05B .0501, the State reserves the right to reject any and all offers, in whole or in part, by deeming the offer unsatisfactory as to quality or quantity, delivery, price or service offered, non-compliance with the requirements or intent of this solicitation, lack of competitiveness, error(s) in specifications or indications that revision would be advantageous to the State, cancellation or other changes in the intended project or any other determination that the proposed requirement is no longer needed, limitation or lack of available funds, circumstances that prevent determination of the best offer, or any other determination that rejection would be in the best interest of the State.

5. **EXECUTION:** Failure to execute page 1 of the IFB (Execution Page) in the designated space shall render bid non-responsive, and it will be rejected.

6. **ORDER OF PRECEDENCE:** In cases of conflict between specific provisions in this solicitation or those in any resulting contract documents, the order of precedence shall be (high to low) (1) any special terms and conditions specific to this IFB, including any negotiated terms; (2) requirements and specifications and administration provisions in Sections 4, 5 and 6 of this IFB; (3) North Carolina General Contract Terms and Conditions in ATTACHMENT C: NORTH CAROLINA GENERAL CONTRACT TERMS AND CONDITIONS; (4) Instructions in ATTACHMENT B: INSTRUCTIONS TO VENDORS; (5) ATTACHMENT A: PRICING, and (6) Vendor's Bid.

7. **INFORMATION AND DESCRIPTIVE LITERATURE:** Vendor shall furnish all information requested and in the spaces provided in this document. Further, if required elsewhere in this bid, each Vendor shall submit with its bid any sketches, descriptive literature and/or complete specifications covering the products and services offered. Reference to literature submitted with a previous bid or available elsewhere will not satisfy this provision. Failure to comply with these requirements shall constitute sufficient cause to reject a bid without further consideration.

8. **RECYCLING AND SOURCE REDUCTION:** It is the policy of the State to encourage and promote the purchase of products with recycled content to the extent economically practicable, and to purchase items which are reusable, refillable, repairable, more durable and less toxic to the extent that the purchase or use is practicable and cost-effective. We also encourage and promote using minimal packaging and the use of recycled/recyclable products in the packaging of commodities purchased. However, no sacrifice in quality of packaging will be acceptable. The Vendor remains responsible for providing packaging that will adequately protect the commodity and contain it for its intended use. Vendors are strongly urged to bring to the attention of purchasers those products or packaging they offer which have recycled content and that are recyclable.

9. **CERTIFICATE TO TRANSACT BUSINESS IN NORTH CAROLINA:** As a condition of contract award, each out-of-State Vendor that is a corporation, limited-liability company or limited-liability partnership shall have received, and shall maintain throughout the term of The Contract, a Certificate of Authority to Transact Business in North Carolina from the North Carolina Secretary of State, as required by North Carolina law. A State contract requiring only an isolated transaction completed within a period of six months, and not in the course of a number of repeated transactions of like nature, shall not be considered as transacting business in North Carolina and shall not require a Certificate of Authority to Transact Business.
10. **SUSTAINABILITY:** To support the sustainability efforts of the State of North Carolina we solicit your cooperation in this effort. Pursuant to Executive Order 156 (1999), it is desirable that all responses meet the following:

- All copies of the bid are printed double sided.
- All submittals and copies are printed on recycled paper with a minimum post-consumer content of 30%.
- Unless absolutely necessary, all bids and copies should minimize or eliminate use of non-recyclable or non-reusable materials such as plastic report covers, plastic dividers, vinyl sleeves, and GBC binding. Three-ring binders, glued materials, paper clips, and staples are acceptable.
- Materials should be submitted in a format which allows for easy removal, filing and/or recycling of paper and binder materials. Use of oversized paper is strongly discouraged unless necessary for clarity or legibility.

11. **HISTORICALLY UNDERUTILIZED BUSINESSES:** The State is committed to retaining Vendors from diverse backgrounds, and it invites and encourages participation in the procurement process by businesses owned by minorities, women, disabled, disabled business enterprises and non-profit work centers for the blind and severely disabled. In particular, the State encourages participation by Vendors certified by the State Office of Historically Underutilized Businesses, as well as the use of HUB-certified vendors as subcontractors on State contracts.

12. **RECIProCAL PREFERENCES:** G.S. 143-59 establishes a reciprocal preference requirement to discourage other states from favoring their own resident Vendors by applying a percentage increase to the price of any bid from a North Carolina resident Vendor. To the extent another state does so, North Carolina applies the same percentage increase to the bid of a vendor resident in that state. Residency is determined by a Vendor's "Principal Place of Business," defined as that principal place from which the overall trade or business of the Vendor is directed or managed.

13. **INELIGIBLE VENDORS:** As provided in G.S. 147-86.59 and G.S. 147-86.82, the following companies are ineligible to contract with the State of North Carolina or any political subdivision of the State: a) any company identified as engaging in investment activities in Iran, as determined by appearing on the Final Divestment List created by the State Treasurer pursuant to G.S. 147-86.58, and b) any company identified as engaged in a boycott of Israel as determined by appearing on the List of restricted companies created by the State Treasurer pursuant to G.S. 147-86.81. A contract with the State or any of its political subdivisions by any company identified in a) or b) above shall be void *ab initio*.

14. **CONFIDENTIAL INFORMATION:** To the extent permitted by applicable statutes and rules, the State will maintain as confidential trade secrets in its bid that the Vendor does not wish disclosed. As a condition to confidential treatment, each page containing trade secret information shall be identified in boldface at the top and bottom as "CONFIDENTIAL" by the Vendor, with specific trade secret information enclosed in boxes, marked in a distinctive color or by similar indication. Cost information shall not be deemed confidential under any circumstances. Regardless of what a Vendor may label as a trade secret, the determination whether it is or is not entitled to protection will be determined in accordance with G.S. 132-1.2. Any material labeled as confidential constitutes a representation by the Vendor that it has made a reasonable effort in good faith to determine that such material is, in fact, a trade secret under G.S. 132-1.2. Vendors are urged and cautioned to limit the marking of information as a trade secret or as confidential so far as is possible. If a legal action is brought to require the disclosure of any material so marked as confidential, the State will notify Vendor of such action and allow Vendor to defend the confidential status of its information.

15. **PROTEST PROCEDURES:** When a Vendor wishes to protest the award of The Contract awarded by the Division of Purchase and Contract, or awarded by an agency in an awarded amount of at least $25,000, a Vendor shall submit a written request addressed to the State Purchasing Officer at: Division of Purchase and Contract, 1305 Mail Service Center, Raleigh, NC 27699-1305. A protest request related to an award amount of less than $25,000 shall be sent to the purchasing officer of the agency that issued the award. The protest request must be received in the proper office within thirty (30) consecutive calendar days from the date of the Contract award. Protest letters shall contain specific grounds and reasons for the protest, how the protesting party was harmed by the award made and any documentation providing support for the protesting party's claims. **Note:** Contract award notices are sent only to the Vendor actually awarded the Contract, and not to every person or firm responding to a solicitation. Bid status and Award notices are posted on the Internet at [https://www.ips.state.nc.us/ips/](https://www.ips.state.nc.us/ips/).
protests will be handled pursuant to the North Carolina Administrative Code, 01 NCAC 05B .1519.

16. **MISCELLANEOUS:** Any gender-specific pronouns used herein, whether masculine or feminine, shall be read and construed as gender neutral, and the singular of any word or phrase shall be read to include the plural and vice versa.

17. **COMMUNICATIONS BY VENDORS:** In submitting its bid, the Vendor agrees not to discuss or otherwise reveal the contents of its bid to any source, government or private, outside of the using or issuing agency until after the award of the Contract or cancellation of this IFB. All Vendors are forbidden from having any communications with the using or issuing agency, or any other representative of the State concerning the solicitation, during the evaluation of the bids (i.e., after the public opening of the bids and before the award of the Contract), unless the State directly contacts the Vendor(s) for purposes of seeking clarification or another reason permitted by the solicitation. A Vendor shall not: (a) transmit to the issuing and/or using agency any information commenting on the ability or qualifications of any other Vendor to provide the advertised good, equipment, commodity; (b) identify defects, errors and/or omissions in any other Vendor’s bid and/or prices at any time during the procurement process; and/or (c) engage in or attempt any other communication or conduct that could influence the evaluation or award of a Contract related to this IFB. Failure to comply with this requirement shall constitute sufficient justification to disqualify a Vendor from a Contract award. Only those communications with the using agency or issuing agency authorized by this IFB are permitted.

18. **TABULATIONS:** Bid tabulations can be electronically retrieved at the Interactive Purchasing System (IPS), [https://www.ips.state.nc.us/ips/BidNumberSearch.aspx](https://www.ips.state.nc.us/ips/BidNumberSearch.aspx). Click on the IPS BIDS icon, click on Search for Bid, enter the bid number, and then search. Tabulations will normally be available at this website not later than one working day after the bid opening. Lengthy or complex tabulations may be summarized, with other details not made available on IPS, and requests for additional details or information concerning such tabulations cannot be honored.

19. **VENDOR REGISTRATION AND SOLICITATION NOTIFICATION SYSTEM:** The North Carolina electronic Vendor Portal (eVP) allows Vendors to electronically register free with the State to receive electronic notification of current procurement opportunities for goods and services of potential interests to them available on the Interactive Purchasing System, as well as notifications of status changes to those solicitations. Online registration and other purchasing information is available at the following website: [http://ncadmin.nc.gov/about-doa/divisions/purchase-contract](http://ncadmin.nc.gov/about-doa/divisions/purchase-contract).

20. **WITHDRAWAL OF BID:** Bids submitted electronically may be withdrawn at any time prior to the date for opening bids identified on the cover page of this IFB (or such later date included in an Addendum to the IFB). Bids that have been delivered by hand, U.S. Postal Service, courier or other delivery service may be withdrawn only in writing and if receipt is acknowledged by the office issuing the IFB prior to the time for opening bids identified on the cover page of this IFB (or such later date included in an Addendum to the RFP). Written withdrawal requests shall be submitted on the Vendor’s letterhead and signed by an official of the Vendor authorized to make such request. Any withdrawal request made after the opening of bids shall be allowed only for good cause shown and in the sole discretion of the Division of Purchase and Contract.

21. **INFORMAL COMMENTS:** The State shall not be bound by informal explanations, instructions or information given at any time by anyone on behalf of the State during the competitive process or after award. The State is bound only by information provided in writing in this IFB and in formal Addenda issued through IPS.

22. **COST FOR BID PREPARATION:** Any costs incurred by Vendor in preparing or submitting offers are the Vendor’s sole responsibility; the State of North Carolina will not reimburse any Vendor for any costs incurred or associated with the preparation of bids.

23. **VENDOR’S REPRESENTATIVE:** Each Vendor shall submit with its bid the name, address, and telephone number of the person(s) with authority to bind the firm and answer questions or provide clarification concerning the firm’s bid.

24. **INSPECTION AT VENDOR’S SITE:** The State reserves the right to inspect, at a reasonable time, the equipment, item, plant or other facilities of a prospective Vendor prior to Contract award, and during the Contract term as
necessary for the State's determination that such equipment, item, plant or other facilities conform with the specifications/requirements and are adequate and suitable for the proper and effective performance of the Contract.

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ATTACHMENT C: NORTH CAROLINA GENERAL CONTRACT TERMS & CONDITIONS

1) PERFORMANCE:
   a) It is anticipated that the tasks and duties undertaken by the Vendor under the contract which results from the State solicitation in this matter (Contract) shall include Services, and/or the manufacturing, furnishing, or development of goods and other tangible features or components, as deliverables.
   
b) Except as provided herein, and unless otherwise mutually agreed in writing prior to award, any deliverables not subject to an agreed Vendor license and provided by Vendor in performance of this Contract shall be and remain property of the State. During performance, Vendor may provide proprietary components as part of the deliverables that are identified in this Contract. Vendor grants the State a personal, permanent, non-transferable license to use such proprietary components of the deliverables and other functionalities, as provided under this Contract. Any technical and business information owned by Vendor or its suppliers or licensors made accessible or furnished to the State shall be and remain the property of the Vendor or such other party, respectively. Vendor agrees to perform under the Contract in at least the same or similar manner provided to comparable users and customers. The State shall notify the Vendor of any defects or deficiencies in performance or failure of deliverables to conform to the standards and specifications provided in this Contract. Vendor agrees to timely remedy defective performance or any nonconforming deliverables on its own or upon such notice provided by the State.
   
c) Vendor has a limited, non-exclusive license to access and use State Data provided to Vendor, but solely for performing its obligations under and during this Agreement and in confidence as further provided for herein or by law.
   
d) Vendor or its suppliers, as specified and agreed in the Contract, shall provide support assistance to the State related to all Services performed or other deliverables procured hereunder during the State’s normal business hours. Vendor warrants that its support, customer service, and assistance will be performed at a minimum in accordance with generally accepted and applicable industry standards.
   
e) The State may document and take into account in awarding or renewing future procurement contracts the general reputation, performance and performance capabilities of the Vendor under this Contract as provided by G.S. 143-52 and 143-135.9 (a) and (b) (Best Value).

2) DEFAULT AND TERMINATION:
   a) In the event of default by the Vendor, the State may, as provided by NC law, procure goods and services necessary to complete performance hereunder from other sources and hold the Vendor responsible for any excess cost occasioned thereby. See, G.S. 25-2-712. In addition, and in the event of default by the Vendor under the Contract, or upon the Vendor filing a petition for bankruptcy or the entering of a judgment of bankruptcy by or against the Vendor, the State may immediately cease doing business with the Vendor, terminate the Contract for cause, and take action to recover relevant damages, and if permitted by applicable law, debar the Vendor from doing future business with the State. 01 NCAC 05B.1520.
   
b) If, through any cause, Vendor shall fail to fulfill in a timely and proper manner the obligations under the Contract, including, without limitation, in these North Carolina General Terms and Conditions, the State shall have the right to terminate the Contract by giving thirty days written notice to the Vendor and specifying the effective date thereof. In that event, any or all finished or unfinished deliverables that are prepared by the Vendor under the Contract shall, at the option of the State, become the property of the State (and under any applicable Vendor license to the extent necessary for the State to use such property), and the Vendor shall be entitled to receive just and equitable compensation for any acceptable deliverable completed (or partially completed at the State’s option) as to which such option is exercised. Notwithstanding, Vendor shall not be relieved of liability to the State for damages sustained by the State by virtue of any breach of the Contract, and the State may withhold any payment due the Vendor for the purpose of setoff until such time as the exact amount of damages due the State from such breach can be determined. The State, if insecure as to receiving proper performance or provision of goods
deliverables, or if documented Vendor Services performance issues exist, under this Contract, may require at any time a performance bond or other alternative performance guarantees from a Vendor without expense to the State as provided by applicable law. G.S. 143-52(a); 01 NCAC 05B.1521; G.S. 25-2-609.

c) If this Contract contemplates deliveries or performance over a period of time, the State may terminate this Contract for convenience at any time by providing 60 days’ notice in writing from the State to the Vendor. In that event, any or all finished or unfinished deliverables prepared by the Vendor under this Contract shall, at the option of the State, become its property, and under any applicable Vendor license to the extent necessary for the State to use such property. If the Contract is terminated by the State for convenience, the State shall pay for those items or Services for which such option is exercised, less any payment or compensation previously made.

3) INTERPRETATION, CONFLICT OF TERM:

a) The definitions in the Instructions to Vendors in the relevant solicitation for this Contract, and in 01 NCAC 05A.0112 are specifically incorporated herein.

b) If federal funds are involved in the transactions under this Contract, the Vendor shall comply with all applicable state and federal requirements and laws, except where State requirements are more restrictive. See the additional federal requirements included in the “Federal Funds Provisions” section below.

c) “Purchasing Agency” herein is as defined in 01 NCAC 05A.0112, except that if this Contract has been entered into by the NC Department of Administration, Division of Purchase and Contract (P&C) as indicated in the Contract (e.g., a State Term Contract), then P&C will then be a Purchasing Agency for the purposes herein and in the Federal Funds Provisions, below.

d) Contracts made in contravention of General Statutes, Chapter 143, Article 3 and the Rules in 05 NCAC Chapter 5, are void. G.S. 143-58.

e) In cases of conflict between specific provisions in this Contract and any other referenced documents, the Order of Precedence shall be (high to low) (1) any special terms and conditions specific to this Contract, including any negotiated terms; (2) requirements, specifications and administrative terms; (3) these NORTH CAROLINA GENERAL CONTRACT TERMS AND CONDITIONS, including the Federal Funds Provisions; (4) Definitions and other provisions in INSTRUCTIONS TO VENDORS in this solicitation, which is specifically incorporated in this Contract; (5) PRICING, and (6) Vendor’s Bid, to the extent specifically and mutually incorporated into this Contract.

f) In the event of conflict of terms between applicable provisions of the Federal Funds Provisions and the other provisions of these North Carolina General Contract Terms and Conditions, the more restrictive provision will govern.

4) GOVERNMENTAL RESTRICTIONS: In the event any Governmental restrictions are imposed which necessitate alteration of the goods, material, quality, workmanship, or performance of the Services offered, prior to acceptance, it shall be the responsibility of the Vendor to notify the State Contract Lead or Administrator indicated in the Contract at once, in writing, indicating the specific regulation which requires such alterations. The State reserves the right to accept any such alterations, including any price adjustments occasioned thereby, or to cancel the Contract.

5) AVAILABILITY OF FUNDS: Any and all payments to the Vendor shall be dependent upon and subject to the availability of funds appropriated or allocated to the agency for the purpose set forth in the Contract.

6) TAXES: Any applicable taxes shall be invoiced as a separate item.

a) G.S. 143-59.1 bars the Secretary of Administration from entering into Contracts with Vendors if the Vendor or its affiliates meet one of the conditions of G.S. 105-164.8(b) and refuses to collect use tax on sales of tangible personal property to purchasers in North Carolina. Conditions under G.S. 105-164.8(b) include: (1) Maintenance of a retail establishment or office, (2) Presence of representatives in the State that solicit sales or transact business on behalf of the Vendor and (3) Systematic exploitation of the market by media-assisted, media-facilitated, or media-solicited means. By execution of the proposal
document the Vendor certifies that it and all of its affiliates, (if it has affiliates), collect(s) the appropriate taxes.

b) The agency(ies) participating in the Contract are exempt from Federal Taxes, such as excise and transportation. Exemption forms submitted by the Vendor will be executed and returned by the using agency.

c) Prices offered are not to include any personal property taxes, nor any sales or use tax (or fees) unless required by the North Carolina Department of Revenue.

7) SITUS AND GOVERNING LAWS:

a) This Contract is made under and shall be governed by and construed in accordance with the laws of the State of North Carolina, including, without limitation, the relevant provisions of G.S. Chapter 143, Article 3, and the Rules in 01 NCAC Chapter 05, and any applicable successor provisions, without regard to its conflict of laws rules, and within which State all matters, whether sounding in Contract, tort or otherwise, relating to its validity, construction, interpretation and enforcement shall be determined. G.S. 22B-3.

b) Vendor shall comply with all laws, ordinances, codes, rules, regulations, and licensing requirements that are applicable to the conduct of its business and its performance in accordance with the Contract, including those of federal, state, and local agencies having jurisdiction and/or authority, and including, without limitation, the applicable requirements in the Federal Funds Provisions, below.

c) Non-resident Vendor corporations not formed under NC law must be domesticated in the Office of the NC Secretary of State in order to contract with the State of North Carolina. G.S. 55A-15-01.

8) NON-DISCRIMINATION COMPLIANCE:

Wholly State Funded Contracts.

a) The Vendor will take affirmative action in complying with all State requirements and laws concerning fair employment and employment of people with disabilities, and concerning the treatment of all employees without regard to discrimination by reason of race, color, religion, sex, national origin or disability or rights, such as preserved by Governor Roy Cooper Order E.O. 24 or 25, and will take necessary action to ensure that its internal employee policies and procedures are consistent with Executive Order #82 (Roy Cooper, December 6, 2018), which extends workplace protections and accommodations to pregnant employees.

b) Federal Law, such as the following, applies as provided for therein: Titles VI and VII of the Civil Rights Act of 1964 (PL 88-352), and the regulations issued pursuant thereto (prohibiting discrimination on the basis race, color, national origin and ensuring that individuals are employed, and that employees are treated during employment, without regard to their race, color, creed, national origin, sex, or age); Title IX of the Education Amendments of 1972 (codified as amended at 20 U.S.C. § 1681 et seq.) (prohibiting discrimination on the basis of sex); Titles I, II, III, IV, and V of the Americans with Disability Act of 1990 (prohibiting discrimination on the basis of disability); Section 504 of the Rehabilitation Act of 1973 (codified as amended at 29 U.S.C. § 794) (prohibiting discrimination on the basis of handicap); the Age Discrimination Act of 1975 (codified as amended at 42 U.S.C. § 6101 et seq.) (prohibiting age discrimination); Executive Order 11063 as amended by Executive Order 2259; and Section 109 of the Housing and Community Development Act of 1974, as amended.

Contracts Partially or Wholly Federally Funded.

To the extent federal funding is involved in this procurement, in whole or in part, compliance with the following is required:

c) The Vendor shall comply with all Federal Funds Provisions requirements (below) and not discriminate against any employee or applicant for employment because of race, color, religion, sex, sexual
orientation, gender identity, or national origin. The Vendor will take affirmative action to ensure that applicants are employed, and that employees are treated during employment without regard to their race, color, religion, sex, sexual orientation, gender identity, or national origin. Such action shall include, but not be limited to the following:

Employment, upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship. The Vendor agrees to post in conspicuous places, available to employees and applicants for employment, notices to be provided setting forth the provisions of this nondiscrimination clause.

d) The Vendor shall, in all solicitations or advertisements for employees placed by or on behalf of the Vendor, state that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, sexual orientation, gender identity, or national origin:

e) The Vendor will not discharge or in any other manner discriminate against any employee or applicant for employment because such employee or applicant has inquired about, discussed, or disclosed the compensation of the employee or applicant or another employee or applicant. This provision shall not apply to instances in which an employee who has access to the compensation information of other employees or applicants as a part of such employee's essential job functions discloses the compensation of such other employees or applicants to individuals who do not otherwise have access to such information, unless such disclosure is in response to a formal complaint or charge, in furtherance of an investigation, proceeding, hearing, or action, including an investigation conducted by the employer, or is consistent with the Vendor's legal duty to furnish information.

f) The Vendor will send to each labor union or representative of workers with which it has a collective bargaining agreement or other contract or understanding, a notice to be provided advising the said labor union or workers' representatives of the Vendor's commitments under this section, and shall post copies of the notice in conspicuous places available to employees and applicants for employment.

g) The Vendor shall comply with all provisions of Executive Order 11246 of September 24, 1965, and of the rules, regulations, and relevant orders of the Secretary of Labor.

h) The Vendor shall furnish all information and reports required by Executive Order 11246 of September 24, 1965, and by rules, regulations, and orders of the Secretary of Labor, or pursuant thereto, and shall permit access to his books, records, and accounts by the administering agency and the Secretary of Labor for purposes of investigation to ascertain compliance with such rules, regulations, and orders.

i) In the event of the Vendor's noncompliance with the nondiscrimination clauses of this Contract or with any of the said rules, regulations, or orders, this Contract may be canceled, terminated, or suspended in whole or in part and the Vendor may be declared ineligible for further Government contracts or federally assisted construction Contracts in accordance with procedures authorized in Executive Order 11246 of September 24, 1965, and such other sanctions may be imposed and remedies invoked as provided in Executive Order 11246 of September 24, 1965, or by rule, regulation, or order of the Secretary of Labor, or as otherwise provided by law.

j) The Vendor shall include the portion of the sentence immediately preceding paragraph (a) and the provisions of paragraphs (a) through (g) in every subcontract or purchase order unless exempted by rules, regulations, or orders of the Secretary of Labor issued pursuant to section 204 of Executive Order 11246 of September 24, 1965, so that such provisions will be binding upon each subcontractor or vendor. The Vendor will take such action with respect to any subcontract or purchase order as the administering agency may direct as a means of enforcing such provisions, including sanctions for noncompliance: Provided, however, that in the event a Vendor (or herein "applicant," as applicable in context within these Federal Funds Provisions) becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of such direction by the administering agency, the Vendor may request the United States to enter into such litigation to protect the interests of the United States.

k) The Vendor further agrees that it shall be bound by the above equal opportunity clause with respect to its own employment practices when it participates in federally assisted construction work: Provided, that
If the Vendor so participating is a State or local government, the above equal opportunity clause is not applicable to any agency, instrumentality or subdivision of such government which does not participate in work on or under the Contract.

l) The Vendor agrees that it shall assist and cooperate actively with the administering agency and the Secretary of Labor in obtaining the compliance of Vendors and subcontractors with the equal opportunity clause and the rules, regulations, and relevant orders of the Secretary of Labor, that it shall furnish the administering agency and the Secretary of Labor such information as they may require for the supervision of such compliance, and that it shall otherwise assist the administering agency in the discharge of the agency's primary responsibility for securing compliance.

m) The Vendor further agrees that it shall refrain from entering into any contract or contract modification subject to Executive Order 11246 of September 24, 1965, with a Vendor debarred from, or who has not demonstrated eligibility for, Government Contracts and federally assisted construction contracts pursuant to the Executive Order and will carry out such sanctions and penalties for violation of the equal opportunity clause as may be imposed upon Vendors and subcontractors by the administering agency or the Secretary of Labor pursuant to Part II, Subpart D of the Executive Order. In addition, the Vendor agrees that if it fails or refuses to comply with these undertakings, the administering agency may take any or all of the following actions: Cancel, terminate, or suspend in whole or in part any relevant grant (contract, loan, insurance, guarantee); refrain from extending any further assistance to the Vendor under the program with respect to which the failure or refund occurred until satisfactory assurance of future compliance has been received from such Vendor; and refer the case to the Department of Justice for appropriate legal proceedings.

9) **PAYMENT TERMS:** Payment terms are not later than 30 days after receipt of a correct invoice or acceptance of goods, whichever is later. The Procuring Agency is responsible for all payments to the Vendor under the Contract. Payment by some agencies may be made by procurement card. If the Vendor accepts Visa, MasterCard, etc., from other customers, it shall accept procurement card payment by the State under the terms provided for the procurement card. 01 NCAC 05B.1523. If payment is made by procurement card, then payment for amounts then due may be processed immediately by the Vendor.

The State does not agree in advance, in contract, pursuant to Constitutional limitations, to pay costs such as interest, late fees, penalties or attorney’s fees. This Contract will not be construed as an agreement by the State to pay such costs and will be paid only as ordered by a court of competent jurisdiction.

10) **CONDITION AND PACKAGING:** Unless otherwise expressly provided by special terms and conditions or specifications in the Contract or by express, specific federal law or rule, it is understood and agreed that any item offered or shipped has not been sold or used for any purpose, is newly manufactured, and shall be in first class condition. All containers/packaging shall be suitable for handling, storage or shipment.

11) **INTELLECTUAL PROPERTY WARRANTY AND INDEMNITY:** Vendor shall hold and save the State, its officers, agents and employees, harmless from liability of any kind, including costs and expenses, resulting from infringement of the rights of any third party in any Services or copyrighted material, patented or patent-pending invention, article, device or appliance delivered in connection with the Contract.

   a) Vendor warrants to the best of its knowledge that:

      i) Performance under the Contract does not infringe upon any intellectual property rights of any third party; and
     
      ii) There are no actual or threatened actions arising from, or alleged under, any intellectual property rights of any third party.

   b) Should any deliverables supplied by Vendor become the subject of a claim of infringement of a patent, copyright, trademark or a trade secret in the United States, the Vendor, shall at its option and expense, either procure for the State the right to continue using the deliverables, or replace or modify the same to become non-infringing. If neither of these options can reasonably be taken in Vendor's judgment, or if further use shall be prevented by injunction, the Vendor agrees to cease provision of any affected deliverables and refund any sums the State has paid Vendor for such deliverables and make every
reasonable effort to assist the State in procuring substitute deliverables. If, in the sole opinion of the State, the cessation of use by the State of any such deliverables due to infringement issues makes the retention of other items acquired from the Vendor under this Agreement impractical, the State shall then have the option of terminating the Agreement, or applicable portions thereof, without penalty or termination charge; and Vendor agrees to refund any sums the State paid for unused Services or other deliverables.

c) The Vendor, at its own expense, shall defend any action brought against the State to the extent that such action is based upon a claim that the deliverables supplied by the Vendor, their use or operation, infringe on a patent, copyright, trademark or violate a trade secret in the United States. The Vendor shall pay those costs and damages finally awarded or agreed in a settlement against the State in any such action. Such defense and payment shall be conditioned on the following:
   i) That the Vendor shall be notified within a reasonable time in writing by the State of any such claim;
   and
   ii) That the Vendor shall have the sole control of the defense of any action on such claim and all negotiations for its settlement or compromise provided, however, that the State shall have the option to participate in such action at its own expense.

d) Vendor will not be required to defend or indemnify the State to the extent any claim by a third party against the State for infringement or misappropriation results solely from the State’s material alteration of any Vendor-branded deliverables or Services, or from the continued use of the Services or other deliverables after receiving written notice from the Vendor of the claimed infringement.

12) ADVERTISING: Vendor agrees not to use the existence of the Contract or the name of the State of North Carolina as part of any commercial advertising or marketing of products or Services except as provided in 01 NCAC 05B.1516. A Vendor may inquire whether the State is willing to be included on a listing of its existing customers.

13) ACCESS TO PERSONS AND RECORDS: During, and after the term hereof during the relevant period required for retention of records by State law (G.S. 121-5, 132-1 et seq., typically five years), the State Auditor and any Purchasing Agency’s internal auditors shall have access to persons and records related to the Contract to verify accounts and data affecting fees or performance under the Contract, as provided in G.S. 143-49(9). However, if any audit, litigation or other action arising out of or related in any way to this project is commenced before the end of the such retention of records period, the records shall be retained for one (1) year after all issues arising out of the action are finally resolved or until the end of the record retentions period, whichever is later.

14) ASSIGNMENT OR DELEGATION OF DUTIES:

   a) As a convenience to the Vendor, the State may include any person or entity designated by the Vendor in writing as a joint payee on the Vendor’s payment check. In no event shall such approval and action obligate the State to anyone other than the Vendor.

   b) If Vendor requests any assignment, or delegation of duties, the Vendor shall remain responsible for fulfillment of all Contract obligations. Upon written request, the State may, in its unfettered discretion, approve an assignment or delegation to another responsible entity acceptable to the State, such as the surviving entity of a merger, acquisition or a corporate reorganization if made as part of the transfer of all or substantially all of the Vendor’s assets. 01 NCAC 05B.1507. Any purported assignment or delegation made in violation of this provision shall be void and a material breach of the Contract. G.S. 143-58.

15) INSURANCE: This section provides minimum insurance coverage rates that are applicable to most moderate risk solicitations. Agency Risk Analysis will determine if higher insurance coverage amounts are needed based on the likelihood and severity of exposure to the State. The analysis is documented in writing in the official file and considers the following non-exclusive factors:

1. Potential for damage to State property or property of a third party,
2. Potential for bodily injury to State employees or third parties,
3. Whether Vendor will transport State property, clients, or employees,
4. Use of a vehicle to accomplish the work or to travel to or from State locations,
5. Anticipated physical contacts of the Vendor with the State,
6. Anticipated number and activity of Vendor personnel within the State, and
7. Any other unique considerations that could result in harm, bodily injury, or property damage.
The Purchasing Agency has specified elsewhere in this Contract any increase in the minimum insurance coverage requirements below if the risk from the above factors is high.

a) REQUIREMENTS - Providing and maintaining adequate insurance coverage is a material obligation of the Vendor and is of the essence of the Contract. All such insurance shall meet all laws of the State of North Carolina. Such insurance coverage shall be obtained from companies that are authorized to provide such coverage and that are authorized by the NC Commissioner of Insurance to do business in North Carolina. The Vendor shall at all times comply with the terms of such insurance policies, and all requirements of the insurer under any such insurance policies, except as they may conflict with existing North Carolina laws or the Contract. The limits of coverage under each insurance policy maintained by the Vendor shall not be interpreted as limiting the Vendor's liability and obligations or the indemnification requirements under the Contract. As provided above, a State agency is authorized, upon written evaluation and substantiation in the official file of the significant risk of bodily injury and/or property or other damage in the contract, to require and enforce higher coverage limits to mitigate the potential risk of liability to the State.

b) COVERAGE - During the term of the Contract, the Vendor at its sole cost and expense shall provide commercial insurance of such type and with such terms and limits as may be reasonably associated with the Contract. At a minimum, the Vendor shall provide and maintain the following coverage and limits, subject to higher requirements by an agency after the risk analysis indicated above:

1. For Small Purchases as defined under North Carolina Administrative Code 01 NCAC 05A.0112 (35) and 05B.0301 (1), the minimum applicable insurance requirements for Worker's Compensation and Automobile Liability will apply as required by North Carolina law. The Purchasing Agency may require Commercial General Liability coverage consistent with the assessed risks involved in the procurement.

2. For Contracts valued in excess of the Small Purchase threshold, but up to $1,000,000.00 the following limits shall apply:
   i. **Worker's Compensation** - The Vendor shall provide and maintain Worker's Compensation Insurance, as may be required by the laws of North Carolina, as well as employer's liability coverage, with minimum limits of $250,000.00, covering all of Vendor's employees who are engaged in any work under the Contract in North Carolina. If any work is sub-Contracted, the Vendor shall require the sub-contractor to provide the same coverage for any of its employees engaged in any work under the Contract within the State.

   ii. **Commercial General Liability** - General Liability Coverage on a Comprehensive Broad Form on an occurrence basis in the minimum amount of $500,000.00 Combined Single Limit. Defense costs shall be in excess of the limit of liability.

   iii. **Automobile** - Automobile Liability Insurance, to include liability coverage covering all owned, hired and non-owned vehicles, used within North Carolina in connection with the Contract. The minimum combined single limit shall be $250,000.00 bodily injury and property damage; $250,000.00 uninsured/under insured motorist; and $2,500.00 medical payment.

3. For Contracts valued in excess of $1,000,000.00 the following limits shall apply:
   i. **Worker's Compensation** - The Vendor shall provide and maintain Worker's Compensation Insurance, as may be required by the laws of North Carolina, as well as employer's liability coverage, with minimum limits of $500,000.00, covering all of Vendor's employees who are engaged in any work under the Contract in North Carolina. If any work is sub-Contracted, the Vendor shall require the sub-contractor to provide the same coverage for any of its employees engaged in any work under the Contract within the State.

   ii. **Commercial General Liability** - General Liability Coverage on a Comprehensive Broad Form on an occurrence basis in the minimum amount of $1,000,000.00 Combined Single Limit. Defense costs shall be in excess of the limit of liability.

   iii. **Automobile** - Automobile Liability Insurance, to include liability coverage covering all owned, hired and non-owned vehicles, used within North Carolina in connection with the Contract. The minimum combined single limit shall be $500,000.00 bodily injury and property damage; $500,000.00 uninsured/under insured motorist; and $5,000.00 medical payment.
16) **GENERAL INDEMNITY:** The Vendor shall hold and save the State, its officers, agents, and employees, harmless from liability of any kind, including all claims and losses accruing or resulting to any other person, firm, or corporation furnishing or supplying work, Services, materials, or supplies in connection with the performance of the Contract, and also from any and all claims and losses accruing or resulting to any person, firm, or corporation that may be injured or damaged by the Vendor in the performance of the Contract that are attributable to the negligence or intentionally tortious acts of the Vendor, provided that the Vendor is notified in writing within 30 days from the date that the State has knowledge of such claims. The Vendor represents and warrants that it shall make no claim of any kind or nature against the State’s agents who are involved in the delivery or processing of Vendor deliverables or Services to the State. As part of this provision for indemnity, if federal funds are involved in this procurement, the Vendor warrants that it will comply with all relevant and applicable federal requirements and laws, and will indemnify and hold and save the State harmless from any claims or losses resulting to the State from the Vendor’s noncompliance with such federal requirements or law in this Contract. The representations and warranties in the preceding two sentences shall survive the termination or expiration of the Contract. The State does not participate in indemnification due to Constitutional restrictions, or arbitration, which effectively and unacceptably waives jury trial. See, G.S. 22B-3, -10.

17) **ELECTRONIC PROCUREMENT:** (G.S. 143-48.3)

**GENERALLY APPLICABLE TO GOODS AND SERVICES CONTRACTS:**

a) Purchasing shall be conducted through the Statewide E-Procurement Service. The State’s third-party agent shall serve as the Supplier Manager for this E-Procurement Service. The Vendor shall register for the Statewide E-Procurement Service within two (2) business days of notification of award in order to receive an electronic purchase order resulting from award of this Contract.

b) The Supplier Manager will capture an order from a State approved user, including the shipping and payment information, and submit the order in accordance with E-Procurement Service procedures. Subsequently, the Supplier Manager will send those orders to the appropriate Vendor on State Contract. The State or State-approved user, not the Supplier Manager, shall be responsible for the solicitation, bids received, evaluation of bids received, award of Contract, and the payment for goods delivered.

c) Vendor shall at all times maintain the confidentiality of its username and password for the Statewide E-Procurement Services. Vendor shall be responsible for all activity and all charges by its agents or employees. Vendor agrees not to permit a third party to use its E-Procurement Services account. If there is a breach of security through the Vendor’s account, Vendor shall immediately change its password and notify the Supplier Manager of the security breach by email. Vendor shall cooperate with the State and the Supplier Manager to mitigate and correct any security breach.

**E-PROCUREMENT FEES – APPLICABLE ONLY TO GOODS CONTRACTS**

d) **THE SUCCESSFUL BIDDER(S) SHALL PAY A TRANSACTION FEE, CURRENTLY 1.75% (.0175), ON THE TOTAL DOLLAR AMOUNT (EXCLUDING SALES TAXES) INCLUDED ON EACH PURCHASE ORDER ISSUED THROUGH THE STATEWIDE E-PROCUREMENT SERVICE (OR ANY OFFICIAL REPLACEMENT SERVICE).** G.S. 66-58.12; See, **NC E-Procurement Terms of Use.** This applies to all purchase orders, regardless of the quantity or dollar amount of the purchase order. The transaction fee shall not be stated or included as a separate item on the invoice. Vendor will receive a credit for transaction fees they paid for the purchase of any item(s) if an item(s) is returned through no fault of the Vendor. Transaction fees are non-refundable when an item is rejected and returned, or declined, due to the Vendor’s failure to perform or comply with specifications or requirements of the Contract.

e) Vendor or its Authorized Reseller, as applicable, will be invoiced monthly for the State’s transaction fee by the E-Procurement Supplier Manager (Supplier Manager), based on a) purchase activity for the prior month, or b) purchases for which the supplier invoice has been paid. Unless the Supplier Manager receives written notice from the Vendor identifying with specificity any errors in an invoice for the transaction fee within thirty (30) days of the receipt of invoice, such invoice shall be deemed to be correct and Vendor shall have waived its right to later dispute the accuracy and completeness of the invoice. Payment of the transaction fee by the Vendor is due to the account designated by the State within thirty (30) days after receipt of the invoice for the transaction fee, or it shall be considered a material breach of Contract. Pursuant to G.S. 147-86.23, the service will charge 1) interest on past due
balances at the rate set by the Secretary of Revenue pursuant to G.S. 105-241.21 as of the date the balances are past due, and 2) late payment penalties, currently ten percent (10%) of the account receivable. No interest shall be charged on disputed and overdue amounts to the extent the State agrees to reduce or adjust the amount in dispute. The Supplier Manager shall provide, whenever reasonably requested by the Vendor in writing (including electronic documents), supporting documentation from the E-Procurement Service that accounts for the amount of the invoice.

18) **SUBCONTRACTING:** Performance under the Contract by the Vendor shall not be subcontracted without prior written approval of the State's assigned Contract Lead. Unless otherwise agreed in writing, acceptance of a Vendor's proposal shall include approval to use the subcontractor(s) that have been specified therein.

19) **CONFIDENTIALITY:** Vendor information that cannot be shown to be, e.g., a trade secret, may be subject to public disclosure under the terms of the State Public Records Act (SPRA), beginning at G.S. 132.1. Blanket assertions of confidentiality are not favored, but confidentiality of specific material meeting one or more exceptions in the SPRA will be honored. Vendors are notified that if the confidentiality of material is challenged by other parties, the Vendor has the responsibility of defending the assertion of confidentiality. G.S. 143-52(a).

20) **CARE OF STATE DATA AND PROPERTY:** Any State property, information, data, instruments, documents, studies or reports given to or prepared or assembled by or provided to the Vendor under the Contract shall be kept as confidential, used only for the purpose(s) required to perform the Contract and not divulged or made available to any individual or organization without the prior written approval of the State.

The State's data and property in the hands of the Vendor shall be protected from unauthorized disclosure, loss, damage, destruction by a natural event or another eventuality. The Vendor agrees to reimburse the State for loss or damage of State property while in Vendor's custody. Such State Data shall be returned to the State in a form acceptable to the State upon the termination or expiration of this Agreement.

The Vendor shall notify the State of any security breaches within 24 hours as required by G.S. 143B-1379. For further information, see G.S. 75-60 et seq. Notice is given to the Vendor that the NC Department of Information Technology (DIT) has requirements relating to the security of the State network, and rules relating to the use of the State network, IT software and equipment, that the Vendor must comply with, as applicable. See, e.g., G.S. 143B-1376.

21) **OUTSOURCING:** Any Vendor or subcontractor providing call or contact center services to the State of North Carolina or any of its agencies shall disclose to inbound callers the location from which the call or contact center services are being provided.

If, after award of a Contract, and consistent with any applicable NC DIT security provisions, the Contractor wishes to relocate or outsource any portion of performance to a location outside the United States, or to Contract with a subcontractor for any such performance, which subcontractor and nature of the work has not previously been disclosed to the State in writing, prior written approval must be obtained from the State Purchasing Agency. Vendor shall give notice to the Purchasing Agency of any relocation of the Vendor, employees of the Vendor, subcontractors of the Vendor, or other persons providing performance under a State Contract to a location outside of the United States. See, G.S. 143-59.4.

22) **ENTIRE AGREEMENT:** The Contract (including any documents mutually incorporated specifically therein) resulting from a relevant solicitation represents the entire agreement between the parties and supersedes all prior oral or written statements or agreements. All promises, requirements, terms, conditions, provisions, representations, guarantees, and warranties contained herein shall survive the Contract expiration or termination date unless specifically provided otherwise herein, or unless superseded by applicable Federal or State statutes of limitation.

23) **ELECTRONIC RECORDS:** The State will digitize all Vendor responses to the relevant solicitation, if not received electronically, as well as any awarded Contract together with associated procurement-related documents. These electronic copies shall constitute a preservation record and shall serve as the official record of this procurement with the same force and effect as the original written documents comprising such record. Any official electronic copy, printout or other output readable by sight shown to reflect such record accurately shall constitute an "original."

24) **AMENDMENTS:** This Contract may be amended only by a written amendment duly executed by the State and
25) **NO WAIVER:** Notwithstanding any other language or provision in the Contract or in any Vendor-supplied material, nothing herein is intended nor shall be interpreted as a waiver of any right or remedy otherwise available to the State under applicable law. The waiver by the State of any right or remedy on any one occasion or instance shall not constitute or be interpreted as a waiver of that or any other right or remedy on any other occasion or instance.

26) **FORCE MAJEURE:** Neither party shall be deemed to be in default of its obligations hereunder if and so long as it is prevented from performing such obligations as a result of events beyond its reasonable control, including, without limitation, fire, power failures, any act of war, hostile foreign action, nuclear explosion, riot, strikes or failures or refusals to perform under subcontracts, civil insurrection, earthquake, hurricane, tornado, other catastrophic epidemic or pandemic, natural event or Act of God.

27) **SOVEREIGN IMMUNITY:** Notwithstanding any other term or provision in the Contract, nothing herein is intended nor shall be interpreted as waiving any claim or defense based on the principle of sovereign immunity or other State or federal constitutional provision or principle that otherwise would be available to the State under applicable law.

28. **FEDERAL FUNDS PROVISIONS:**

   Where federal funds are utilized in connection with this procurement, and to the extent applicable and absent stricter or controlling State provisions, the following federal provisions (in addition to the North Carolina General Terms and Conditions above) may apply consistent with Uniform Guidance in 2 C.F.R. § 200.326 and 2 C.F.R. Part 200, and its Appendix II. Relevant federal authorities may require additional provisions depending on the scope and context of the Contract. Failure or unwillingness of the Vendor to continually meet any of these requirements, as applicable, may result in Contract termination.

   a) **No governmental non-competes.** Vendor shall not impose or enforce any non-competition agreement upon the employees included in Vendor's bid that would prevent those employees from accepting any offer of employment from the State of North Carolina outside of the first Term of the Contract. By executing this Contract, the Vendor affirms this condition. This affirmation is a material condition for the State's award of any work under this Contract.

   b) **Program Monitoring.** Vendor agrees to assist and cooperate with the Federal grantor or funding agency and the relevant Purchasing Agency or their duly designated representatives in the monitoring of the project or projects to which this Contract relates, and to provide in form and manner approved by the Purchasing Agency such monitoring reports, progress reports, and the like as may be required and to provide such reports at the times specified.

   c) **Remedies and Termination,** For purposes of this section the State Remedies and Termination provisions above apply as written.

   d) **Contract Work Hours and Safety Standards Act (40 U.S.C. 3701-3708).**

      Compliance with the Contract Work Hours and Safety Standards Act.

      1. **Overtime requirements.** No Vendor or subcontractor contracting for any part of the Contract work which may require or involve the employment of laborers or mechanics shall require or permit any such laborer or mechanic in any workweek in which he or she is employed on such work to work in excess of forty hours in such workweek unless such laborer or mechanic receives compensation at a rate not less than one and one-half times the basic rate of pay for all hours worked in excess of forty hours in such workweek.

      2. **Violation; liability for unpaid wages; liquidated damages.** In the event of any violation of the clause set forth in 29 C.F.R. §5.5(b)(1) the Vendor and any subcontractor responsible therefor shall be
liable for the unpaid wages. In addition, such Vendor and subcontractor shall be liable to the United States (in the case of work done under contract for the District of Columbia or a territory, to such District or to such territory), for liquidated damages. Such liquidated damages shall be computed with respect to each individual laborer or mechanic, including watchmen and guards, employed in violation of the clause set forth in 29 C.F.R. §5.5(b)(1), in the sum of $26 for each calendar day on which such individual was required or permitted to work in excess of the standard workweek of forty hours without payment of the overtime wages required by the clause set forth in 29 C.F.R. §5.5(b)(1).

3. **Withholding for unpaid wages and liquidated damages.** The Purchasing Agency shall upon its own action or upon written request of an authorized representative of the Department of Labor withhold or cause to be withheld, from any moneys payable on account of work performed by the Vendor or subcontractor under any such contract or any other Federal contract with the same prime Vendor, or any other federally-assisted contract subject to the Contract Work Hours and Safety Standards Act, which is held by the same prime Vendor, such sums as may be determined to be necessary to satisfy any liabilities of such Vendor or subcontractor for unpaid wages and liquidated damages as provided in the clause set forth in 29 C.F.R. §5.5(b)(2).

4. **SubContracts.** The Vendor or subcontractor shall insert in any subcontracts the clauses set forth in paragraph (b)(1) through (4) of 29 C.F.R. §5.5 and also a clause requiring the subcontractors to include these clauses in any lower tier subcontracts. The prime Vendor shall be responsible for compliance by any subcontractor or lower tier subcontractor with the clauses set forth in 29 C.F.R. §5.5(b)(2) through (4).

e) **CLEAN AIR ACT AND THE FEDERAL WATER POLLUTION CONTROL ACT.**

**Clean Air Act**

1. The Vendor agrees to comply with all applicable standards, orders or regulations issued pursuant to the Clean Air Act, as amended, 42 U.S.C. § 7401 et seq.

2. The Vendor agrees to report each violation to the Purchasing Agency and understands and agrees that the Purchasing Agency will, in turn, report each violation as required to assure notification to the Federal Emergency Management Agency, and the appropriate Environmental Protection Agency Regional Office.

3. The Vendor agrees to include these requirements in each subcontract exceeding $150,000 financed in whole or in part with Federal assistance.

**Federal Water Pollution Control Act**

1. The Vendor agrees to comply with all applicable standards, orders, or regulations issued pursuant to the Federal Water Pollution Control Act, as amended, 33 U.S.C. 1251 et seq.

2. The Vendor agrees to report each violation to the Purchasing Agency and understands and agrees that the Purchasing Agency will, in turn, report each violation as required to assure notification to the federal agency providing funds hereunder, and the appropriate Environmental Protection Agency Regional Office.

3. The Vendor agrees that these requirements will be included in each subcontract exceeding $150,000 financed in whole or in part with Federal assistance.

f) **Debarment and Suspension.**

1. This Contract, if federal funding is used, is a covered transaction for purposes of 2 C.F.R. pt. 180 and 2 C.F.R. pt. 3000. As such, the Vendor is required to verify that none of the Vendor’s principals (defined at 2 C.F.R. § 180.995) or its affiliates (defined at 2 C.F.R. § 180.905) are excluded (defined at 2 C.F.R. § 180.940) or disqualified (defined at 2 C.F.R. § 180.935).

2. The Vendor must comply with 2 C.F.R. pt. 180, subpart C and 2 C.F.R. pt. 3000, subpart C, and must include a requirement to comply with these regulations in any lower tier covered transaction it enters into.
3. This certification is a material representation of fact relied upon by a federal agency providing federal funds herein and the Purchasing Agency. If it is later determined that the Vendor did not comply with 2 C.F.R. pt. 180, subpart C and 2 C.F.R. pt. 3000, subpart C, in addition to remedies available to federal agency providing federal funds herein and the Purchasing Agency, the Federal Government may pursue available remedies, including but not limited to suspension and/or debarment.

4. The Vendor agrees to comply with the requirements of 2 C.F.R. pt. 180, subpart C and 2 C.F.R. pt. 3000, subpart C while this offer is valid and throughout the period of the Contract resulting from a relevant solicitation herein. The Vendor further agrees to include a provision requiring such compliance in its lower tier covered transactions.


To the extent applicable, Vendors that apply or bid for an award of $100,000 or more shall file the required certification. Each tier certifies to the tier above that it will not and has not used Federal appropriated funds to pay any person or organization for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, officer or employee of Congress, or an employee of a Member of Congress in connection with obtaining any Federal Contract, grant, or any other award covered by 31 U.S.C. § 1352. Each tier shall also disclose any lobbying with non-Federal funds that takes place in connection with obtaining any Federal award. Such disclosures are forwarded from tier to tier up to the recipient who in turn will forward the certification(s) to the awarding agency.

Required Certification. If applicable, Vendors must sign and submit to the Purchasing Agency the certification. See the latest version of “Certification for Contracts, Grants, Loans, and Cooperative Agreements” found at https://ncadmin.nc.gov/documents/vendor-forms.

h) Procurement of Recovered Materials.

i. Unless specified otherwise in the Contract, in the performance of this Contract, the Vendor shall make maximum use of products containing recovered materials that are EPA-designated items unless the product cannot be acquired:
   - Competitively within a timeframe providing for compliance with the Contract performance schedule;
   - Meeting Contract performance requirements;
   - At a reasonable price.

ii. Information about this requirement, along with the list of EPA designated items, is available at EPA’s Comprehensive Procurement Guidelines web site:
    https://www.epa.gov/asm/comprehensive-procurement-guideline-cpg-program.

iii. The Vendor also agrees to comply with all other applicable requirements of Section 6002 of the Solid Waste Disposal Act.”

i) Access to Records. In addition to the North Carolina General Contract Terms & Conditions section entitled “ACCESS TO PERSONS AND RECORDS” included in this Contract, the following access to records requirements apply to this Contract:

1. The Vendor agrees to provide the Purchasing Agency, the Administrator of the federal agency providing funds hereunder, the Comptroller General of the United States, or any of their authorized representatives access to any books, documents, papers, and records of the Vendor which are directly pertinent to this Contract for the purposes of making audits, examinations, excerpts, and transcriptions.

2. The Vendor agrees to permit any of the foregoing parties to reproduce by any means whatsoever or to copy excerpts and transcriptions as reasonably needed.
3. The Vendor agrees to provide the Administrator of the federal agency providing funds hereunder or his authorized representative access to construction or other work sites pertaining to the work being completed under the Contract.

4. In compliance with the Disaster Recovery Act of 2018, the Purchasing Agency and the Vendor acknowledge and agree that no language in this Contract is intended to prohibit audits or internal reviews by the Administrator of the federal agency providing funds hereunder or the Comptroller General of the United States.

j) Modifications to Contract. Modifications to the Contract are governed by the North Carolina General Contract Terms & Conditions section above entitled "AMENDMENTS," except as approval and signature by any federal official may also be required.

k) Records Retention. All records required to be kept on the project shall be maintained for at least five (5) years after final payments and until all other pending matters under the grant for this project have been closed. However, if any audit, litigation or other action arising out of or related in any way to this project is commenced before the end of the five (5) year period, the records shall be retained for one (1) year after all issues arising out of the action are finally resolved or until the end of the five (5) year period, whichever is later.

l) Energy Efficiency. All participants in the projects funded hereby shall recognize mandatory standards and policies relating to energy efficiency, which are contained in the state energy conservation plan issued in compliance with the Energy Policy and Conservation Act (PL 94-163).

m) Program Fraud and False or Fraudulent Statements or Related Acts. Vendor acknowledges that 31 U.S.C. Chapter 38 (Administrative Remedies for False Claims and Statements) applies to its actions pertaining to the Contract.

n) No Obligation by Federal Government. The Federal Government is not a party to this Contract and is not subject to any obligations or liabilities to the non-Federal entity, Vendor, or any other party pertaining to any matter resulting from the Contract.

o) Compliance with Federal Law, Regulations, and Executive Orders. This is an acknowledgement that federal financial assistance will be used to fund all or a portion of the Contract. The Vendor will comply with all applicable Federal law, regulations, executive orders, the policies of the federal agency(ies) providing funding, procedures, and directives.

p) Federal Seals, Logos, and Flags. In addition to the prohibitions of the North Carolina General Contract Terms & Conditions section above entitled "ADVERTISING," the Vendor shall not use the seal(s), logos, crests, or reproductions of flags of a federal agency providing funding herein, or likenesses of federal agency officials without specific pre-approval of the relevant federal agency.

q) System for Awards Management. Vendor shall be responsible to ensure that it has checked the federal System for Awards Management (SAM) https://www.sam.gov/portal/SAM and the State Debarred Vendors Listing, http://www.pandc.nc.gov/actions.asp to verify that Contractors or sub-Recipients have not been suspended or debarred from doing business with federal or State government*.

This Space Is Intentionally Left Blank
ATTACHMENT D: LOCATION OF WORKERS UTILIZED BY VENDOR

In accordance with NC General Statute 143-59.4, the Vendor shall detail the location(s) at which performance will occur, as well as the manner in which it intends to utilize resources or workers outside of the United States in the performance of The Contract. The State will evaluate the additional risks, costs, and other factors associated with such utilization prior to making an award. Vendor shall complete items a) and b) below.

a) Will any work under this Contract be performed outside the United States? ☐ YES ☑ NO

If the Vendor answered “YES” above, Vendor shall complete items 1 and 2 below:

1. List the location(s) outside the United States where work under The Contract will be performed by the Vendor, any sub-Contractors, employees, or other persons performing work under the Contract:

2. Describe the corporate structure and location of corporate employees and activities of the Vendor, its affiliates or any other sub-Contractors that will perform work outside the U.S.:

b) Vendor shall Identify all U.S. locations at which performance will occur:

North Carolina

Vendor shall provide notice, in writing to the State, of the relocation of the Vendor, employees of the Vendor, sub-Contractors of the Vendor, or other persons performing services under the Contract to a location outside of the United States.

NOTE: All Vendor or sub-Contractor personnel providing call or contact center services to the State of North Carolina under the Contract shall disclose to inbound callers the location from which the call or contact center services are being provided.

This Space is Intentionally Left Blank
ATTACHMENT E: CERTIFICATION OF FINANCIAL CONDITION

Name of Vendor: [Name]

The undersigned hereby certifies that: [check all applicable boxes]

☑ The Vendor is in sound financial condition and, if applicable, has received an unqualified audit opinion for the latest audit of its financial statements.

Date of latest audit: 12-31-19 (If no audit within past 18 months, explain reason below)

☑ The Vendor has no outstanding liabilities, including tax and judgment liens, to the Internal Revenue Service or any other government entity.

☑ The Vendor is current on all amounts due for payments of federal and state taxes and required employment-related contributions and withholdings.

☑ The Vendor is not the subject of any current litigation or findings of noncompliance under federal or state law.

☑ The Vendor has not been the subject of any past or current litigation, findings in any past litigation, or findings of noncompliance under federal or state law that may impact in any way its ability to fulfill the requirements of The Contract.

☑ He or she is authorized to make the foregoing statements on behalf of the Vendor.

Note: This shall constitute a continuing certification and Vendor shall notify the Contract Lead within 15 days of any material change to any of the representations made herein.

—If any one or more of the foregoing boxes is NOT checked, Vendor shall explain the reason(s) in the space below:

Signature: [Signature] Date: 12-18-2020

Printed Name: [Name] Title: [Title]

[This Certification must be signed by an individual authorized to bind the Vendor]
HISTORICALLY UNDERUTILIZED BUSINESSES

Historically Underutilized Businesses (HUBs) consist of minority, women and disabled business firms that are at least fifty-one percent owned and operated by an individual(s) of the categories. Also included in this category are disabled business enterprises and non-profit work centers for the blind and severely disabled.

Pursuant to G.S. 143B-1361(a), 143-48 and 143-128.4, the State invites and encourages participation in this procurement process by businesses owned by minorities, women, disabled, disabled business enterprises and non-profit work centers for the blind and severely disabled. This includes utilizing subcontractors to perform the required functions in this IFB. Any questions concerning NC HUB certification, contact the North Carolina Office of Historically Underutilized Businesses at (919) 807-2330. The Vendor shall respond to question #1 and #2 below.

a) Is Vendor a Historically Underutilized Business? ☐ Yes ☑ No

b) Is Vendor Certified with North Carolina as a Historically Underutilized Business? ☐ Yes ☑ No

If so, state HUB classification: __________________________

SUSTAINABILITY

According to G.S. 143-58.2, it is the policy of this State to encourage and promote the purchase of products with recycled content and to purchase items that are reusable, refillable, repairable, more durable and less toxic to the extent that the purchase or use is practicable and cost effective.

Do the items offered have any recycled content? ☐ Yes ☑ No

If yes, what is the post-consumer recycled content? _____%  What is the total recycled content? _____%  

Other sustainable properties: ________________________________
CERTIFICATE OF REGISTRATION

SAI Global, accredited Certification Body No Z1440295AS certifies that:

Cottle Strawberry Nursery, Inc.
2488 W NC 403 Hwy, Faison, North Carolina 28341, USA

is registered as meeting the requirements of the

SQF CODE A HACCP-BASED SUPPLIER ASSURANCE CODE FOR THE FOOD INDUSTRY 8.1 EDITION

Food Safety Code for Manufacturing

for the following scope

04. Fresh Produce Packhouse Operations: Blackberries, Blueberries, Cantaloupe, Cucumbers, Eggplant, Grape tomatoes, Muscadine grapes, Peppers, Squash, Strawberries
14. Fruit, Vegetable and Nut Processing, and Fruit Juices: Frozen Strawberries, Frozen Blueberries

Certificate No: 9411
Current Registration: August 28, 2020
Date of Audit: July 22-23, 2020

SQF No: 9411
Expires: September 7, 2021
Date of Next Audit: June 24, 2021

Authorized by:
Heather Mahon
Global Head of Technical Services
SAI Global Assurance

Registered by:
SAI Global Certification Services Pty Ltd (ACN 108 716 889) 880 George Street, Sydney, NSW 2000 Australia with SAI Global Limited 880 George Street Sydney, NSW 2000 Australia ("SAI Global") and subject to the SAI Global Terms and Conditions for Certification. While all due care and skill was exercised in carrying out this assessment, SAI Global accepts responsibility only for proven negligence. This certificate remains the property of SAI Global and must be returned to SAI Global upon its request.

To verify that this certificate is current please refer to SAI Global On-Line Certification register at
GLOBALG.A.P.
CERTIFICATE

According to GLOBALG.A.P.®
Integrated Farm Assurance General Regulations Version 5.2_February 2019
GLOBALG.A.P. IFA Control Points and Compliance Criteria (CPCC)
Version 5.2_February 2019
Option 1 - Individual Producer

The Annex contains details of the production management units/product handling units included in the scope of this certificate.

Issued to
Farm Pak Products, Inc. (Barnes Farming Co.)
7840 Old Bailey Hwy, Spring Hope, NC, United States
Country of Production - United States

The Certification Body, SCS Global Services, declares that the production of products mentioned on this certificate has been found compliance in accordance with the standard:

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<th>Product(s)</th>
<th>Product Certificate Number</th>
<th># Producers</th>
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<th>Product Handling</th>
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<th>Parallel</th>
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<td>86</td>
<td>Yes</td>
<td>No</td>
<td>Yes</td>
<td>No</td>
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Date of Issuing: December 11, 2019
Valid from: 2/16/2020
February 16, 2020
Valid to: 2/15/2021
February 15, 2021

Authorized by

[Signature]
Jim Knutzon, Vice President

Date of Certification Decision: 6 December 2019

SCS Global Services, 2000 Powell Street, Suite 600, Emeryville, CA 94608
Certs@scsglobalservices.com
The current status of this certificate is always displayed at: http://www.globalgap.org/search
### Production Management Units (PMUs)

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<th>Product(s)</th>
<th>Product Certificate #</th>
<th>Parallel Production</th>
<th>Ranch/Field name and location</th>
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<td>Cecil Thompson Home Place - 35.81305, -77.96004</td>
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<td>Cecil Williams - 35.84291, -77.96312</td>
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<td>Cecils Air Strip and Pearson Peak - 35.60339, -78.03979</td>
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<td>Chicken Plant - 35.83199, -77.99508</td>
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<td>Christian - 35.83671, -78.04</td>
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<td>Croaker Barrel - 35.78785, -77.45184</td>
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<td>Danny Eason - 35.85625, -77.9904</td>
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<td>Davis Rd - 35.89050, -77.83885</td>
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<td>Davis Store Road - 35.88847, -77.85447</td>
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<td>Davistown - 35.81623, -77.80236</td>
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<td>Dilda - 35.84651, -77.94271</td>
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<td>Don Bullock - 35.88285, -77.7265</td>
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<td>E R Williams - 35.83105, -78.01459</td>
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<td>Emmits Store - 35.88053, -77.73843</td>
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<td>Evans Farms - 35.51932, -77.97303</td>
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<td>Fred Smith Organic - 35.85563, -77.69957</td>
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<td>Gay's Xrds - Organic - 35.88352, -77.80349</td>
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<td>George Williams - 35.87036, -77.70126</td>
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<td>Halifax Rd Farm - 35.87203, -77.84989</td>
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<td>Happy Trails Farms - 2018 - 35.55035, -77.82718</td>
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<td>Hayes Farm - 35.88452, -77.72174</td>
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<td>HD's/Cokey's - 35.80411, -78.06468</td>
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<td>Hines 43 - ORGANIC - 36.00781, -77.84755</td>
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<td>Holder Farm - 35.91343, -77.82691</td>
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<td>Hollowman - ORGANIC - 35.91412, -77.8374</td>
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<td>Hwy 59/97 - 35.50° 51.24&quot;N 76.56° 11.50&quot;W</td>
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<td>Iris Bunn - ORGANIC - 35.86798, -78.09288</td>
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<td></td>
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<td>Jackie Barnes - ORGANIC - 35.8946, -77.8736</td>
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<td>Jack's Grocery - ORGANIC - 35.89085, -77.86793</td>
</tr>
<tr>
<td></td>
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<td>John Jenkins - 35.8319, -77.64246</td>
</tr>
</tbody>
</table>
Product Handling Units (PHU's)

<table>
<thead>
<tr>
<th>Product(s)</th>
<th>Product Certificate</th>
<th>Parallel Ownership</th>
<th>Facility Name and Address</th>
</tr>
</thead>
<tbody>
<tr>
<td>Sweet Potatoes</td>
<td>00077-NFPLF-0003</td>
<td>No</td>
<td>FarmPak: 7840 Old Bailey Highway Spring Hope, NC 27882</td>
</tr>
</tbody>
</table>

SCS Global Services, 2000 Powell Street, Suite 600, Emeryville, CA 94608
Certs@scsglobalservices.com
The current status of this certificate is always displayed at: http://www.globalgap.org/search Page 3 of 2
Swee Fui Looe

Produce C.A.P.S. Harmonized Field Operations and Harvesting Audit

This is to verify that

United States Department of Agriculture

USDA

10/7/2019

Audit ID: 29587

Chief, Audit Services Branch

Snow Hill, North Carolina

Ham Produce

has successfully met USDA's acceptance criteria of the voluntarily

Audit ID: 29587

Audit are valid for one year from date on certificate. To verify continued adherence to the program, please visit www.ams.usda.gov/certification
Sweet Potatoes

Produce GAPs Harmonized Post-Harvest Audit

has successfully met USDA's acceptance criteria of the voluntary

Snow Hill, North Carolina

Ham Produce

This is to verify that

United States Department of Agriculture
GLOBALG.A.P. CERTIFICATE

According to GLOBALG.A.P.®
General Regulations Version 5.3-GFS_MAY2020

Option 1 - individual producer

Jackson Farming Company
3171 Ernest Williams Road
Autryville, NC 28318
United States

Perry Johnson Registrars Food Safety, Inc. declares that the production of the products mentioned on this certificate has been found to be compliant in accordance with the standard:

GLOBALG.A.P. Control Points and Compliance Criteria
Integrated Farm Assurance Version 5.3-GFS_MAY2020

<table>
<thead>
<tr>
<th>Product</th>
<th>GLOBALG.A.P. Product Certificate No.</th>
<th>Number of Producers/Production Sites</th>
<th>Harvest Included</th>
<th>Product Handling Included</th>
<th>Parallel Production</th>
<th>Parallel Ownership</th>
</tr>
</thead>
<tbody>
<tr>
<td>Broccoli</td>
<td>00087-PLLXX-0002</td>
<td>1</td>
<td>Yes</td>
<td>In field and facility</td>
<td>No</td>
<td>No</td>
</tr>
<tr>
<td>Canteloupe/ Melons</td>
<td>00087-PLNCF-0002</td>
<td>1</td>
<td>Yes</td>
<td>In field and facility</td>
<td>No</td>
<td>No</td>
</tr>
<tr>
<td>Strawberries</td>
<td>00087-PLLXXN-0002</td>
<td>1</td>
<td>Yes</td>
<td>In field and facility</td>
<td>No</td>
<td>No</td>
</tr>
<tr>
<td>Sweet Potatoes</td>
<td>00087-PLLXXT-0002</td>
<td>1</td>
<td>Yes</td>
<td>In field and facility</td>
<td>No</td>
<td>No</td>
</tr>
<tr>
<td>Watermelon</td>
<td>00087-PLLXX-0002</td>
<td>1</td>
<td>Yes</td>
<td>In field and facility</td>
<td>No</td>
<td>No</td>
</tr>
</tbody>
</table>

Date of Issuing (printing date of certificate): 08/04/2020
Valid from: 08/04/2020
Valid to: 07/29/2021

Authorized by

[Signature]
Terry Bobolge, President
Date of certification decision: 08/04/2020

The current status of this certificate is always displayed at: http://www.globalgap.org/search

PJRFSI o 755 W. Big Beaver Rd., Suite 1390, Troy, Michigan 48084 USA o Email: pjrfsi@pjrfsi.com
### Product Handling Units (PHUs)

<table>
<thead>
<tr>
<th>GGN</th>
<th>Unit Name and Address</th>
<th>Product</th>
<th>Parallel Ownership</th>
</tr>
</thead>
<tbody>
<tr>
<td>4050373867133</td>
<td>Jackson Farming Company 3171 Ernest Williams Road Autryville, NC 28318 United States</td>
<td>Broccoll</td>
<td>No</td>
</tr>
<tr>
<td>4050373867133</td>
<td>Jackson Farming Company 3171 Ernest Williams Road Autryville, NC 28318 United States</td>
<td>Strawberries</td>
<td>No</td>
</tr>
<tr>
<td>4050373867133</td>
<td>Jackson Farming Company 3171 Ernest Williams Road Autryville, NC 28318 United States</td>
<td>Sweet Potatoes</td>
<td>No</td>
</tr>
<tr>
<td>4050373867133</td>
<td>Jackson Farming Company 3171 Ernest Williams Road Autryville, NC 28318 United States</td>
<td>Watermelon</td>
<td>No</td>
</tr>
<tr>
<td>4050373867133</td>
<td>Jackson Farming Company 3171 Ernest Williams Road Autryville, NC 28318 United States</td>
<td>Canteloupes/ Melons</td>
<td>No</td>
</tr>
</tbody>
</table>
GLOBALG.A.P.
CERTIFICATE

Clay T. Strickland Farms/Spring Acres Sales Company
1280 Macedonia Road
Spring Hope, NC 27882
United States

According to GLOBALG.A.P.®
General Regulations Version 5.3-GFS_May2020
Option 1 - individual producer

FoodChain ID Certification L.C. declares that the production of the products mentioned on this certificate have been found to be compliant in accordance with the standard:

GLOBALG.A.P.® Integrated Farm Assurance Control Points and
Compliance Criteria Version 5.3-GFS_May2020
GLOBALG.A.P.® The United States Interpretation Guideline
Integrated Farm Assurance Version 5.3-GFS_May2020

<table>
<thead>
<tr>
<th>Product</th>
<th>GLOBALG.A.P. Product Certificate No.</th>
<th>Number of producers / production sites</th>
<th>Harvest Included</th>
<th>Product Handling Included</th>
<th>Parallel Production</th>
<th>Parallel Ownership</th>
</tr>
</thead>
<tbody>
<tr>
<td>Sweet Potatoes</td>
<td>00089-HTTNK-0002</td>
<td>n/a</td>
<td>Yes</td>
<td>Yes</td>
<td>No</td>
<td>No</td>
</tr>
</tbody>
</table>

The Annex contains details of the producers and production management units/product handling units included in the scope of this certificate.

Announced ☑
Unannounced □

GLOBALG.A.P. Number (GGN): 4049928634641
Producer Registration Number: FoodChain ID Certification L.C. US1944-3

Date of issuing: 11 Nov 2020
Valid from: 02 Dec 2020
Valid to: 01 Dec 2021

Authorized by: Gary Smith
Date of Certification Decision: 11 Nov 2020

The current status of this certificate is always displayed at: http://www.globalgap.org/search
FoodChain ID Certification L.C. (FCIDC)
500 N 3rd Street - Suite 204 Fairfield, IA 52556 - 641 209 1899 – www.foodchainid.com/certification
P1F14 GGAP Certificate v20.2 Jul 21 2020
ANNEX for GGN 4049928634641

Product Handling Units (PHUs)

<table>
<thead>
<tr>
<th>Product(s)</th>
<th>Unit name and address</th>
<th>Product Handling</th>
<th>Parallel Production</th>
<th>Parallel Ownership</th>
</tr>
</thead>
</table>
| Sweet Potatoes| Clay T. Strickland Farms/Spring Acres Sales Company  
1280 Macedonia Road  
Spring Hope, NC 27882 | Yes              | No                  | No                  |

GLOBALG.A.P. Number (GGN): 4049928634641  
Producer Registration Number: FoodChain ID Certification L.C. US1944-3

Date of issuing: 11 Nov 2020  
Valid from: 02 Dec 2020  
Valid to: 01 Dec 2021

The current status of this certificate is always displayed at: [http://www.globalgap.org/search](http://www.globalgap.org/search)  
FoodChain ID Certification L.C. (FCIDC)  
500 N 3rd Street - Suite 204 Fairfield, IA 52556 - 641 209 1899 - [www.foodchainid.com/certification](http://www.foodchainid.com/certification)  
P1F14 GGAP Certificate v20.2 Jul 21 2020
GLOBALG.A.P. CERTIFICATE

Burch Equipment LLC
685 Burch Road
Faison, NC 28341
United States

According to GLOBALG.A.P.®
General Regulations Version 5.1
Option 1 - individual multisite producer

FoodChain ID Certification declares that the production of the products mentioned on this certificate have been found to be compliant in accordance with the standard:
GLOBALG.A.P.® Integrated Farm Assurance Control Points and Compliance Criteria Version 5.1
GLOBALG.A.P.® The United States Interpretation Guideline
Integrated Farm Assurance Version 5.1

<table>
<thead>
<tr>
<th>Product</th>
<th>GLOBALG.A.P. Product Certificate No.</th>
<th>Harvest Included</th>
<th>Product Handling Included</th>
<th>Parallel Production</th>
<th>Parallel Ownership</th>
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<tbody>
<tr>
<td>Asparagus</td>
<td>00070-NTLLK-0002</td>
<td>Yes</td>
<td>No</td>
<td>No</td>
<td>No</td>
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<tr>
<td>Beetroots</td>
<td>00070-NTLNF-0002</td>
<td>Yes</td>
<td>Yes</td>
<td>No</td>
<td>No</td>
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<tr>
<td>Brassica (Collard)</td>
<td>00070-NTLNN-0002</td>
<td>Yes</td>
<td>Yes</td>
<td>No</td>
<td>No</td>
</tr>
<tr>
<td>Broccoli</td>
<td>00070-NTLKN-0002</td>
<td>Yes</td>
<td>Yes</td>
<td>No</td>
<td>No</td>
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<tr>
<td>Cabbage</td>
<td>00070-NTLLF-0002</td>
<td>Yes</td>
<td>Yes</td>
<td>No</td>
<td>No</td>
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<tr>
<td>Capsicums (Peppers)</td>
<td>00070-NTLLX-0002</td>
<td>Yes</td>
<td>Yes</td>
<td>No</td>
<td>No</td>
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<tr>
<td>Kale</td>
<td>00070-NTLKK-0002</td>
<td>Yes</td>
<td>Yes</td>
<td>No</td>
<td>No</td>
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<tr>
<td>Mustard</td>
<td>00070-NTLNX-0002</td>
<td>Yes</td>
<td>Yes</td>
<td>No</td>
<td>No</td>
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<tr>
<td>Pumpkins</td>
<td>00070-NTLKT-0002</td>
<td>Yes</td>
<td>Yes</td>
<td>No</td>
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<tr>
<td>Rutabagas/Swedes</td>
<td>00071-KFTXK-0002</td>
<td>Yes</td>
<td>Yes</td>
<td>No</td>
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<td>Spinach</td>
<td>00070-NTLLT-0002</td>
<td>Yes</td>
<td>Yes</td>
<td>No</td>
<td>No</td>
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<tr>
<td>Squash</td>
<td>00070-NTLLN-0002</td>
<td>Yes</td>
<td>Yes</td>
<td>No</td>
<td>No</td>
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<tr>
<td>Sweet Potatoes</td>
<td>00070-NTLKKX-0002</td>
<td>Yes</td>
<td>Yes</td>
<td>No</td>
<td>No</td>
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<tr>
<td>Turnips</td>
<td>00070-NTLNT-0002</td>
<td>Yes</td>
<td>Yes</td>
<td>No</td>
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<tr>
<td>Turnip Tops</td>
<td>00070-NTLNK-0002</td>
<td>Yes</td>
<td>Yes</td>
<td>No</td>
<td>No</td>
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</tbody>
</table>

The Annex contains details of the producers and production management units/product handling units included in the scope of this certificate.

GLOBALG.A.P. Number (GGN): 4052852991292
Producer Registration Number: FoodChain ID Certification US2229-1

Authorized by: Kathy Arevalo
Date of Certification Decision: 16 Apr 2019

Date of issuing: 16 Apr 2019
Valid from: 16 Apr 2019
Valid to: 31 Jan 2020

The current status of this certificate is always displayed at: http://www.globalgap.org/search
Cert ID LC d/b/a FoodChain ID Certification (FCIDC)
500 N 3rd Street - Suite 204 Fairfield, IA 52556 - 641 209 1899 – www.foodchainid.com/certification
# ANNEX for GGN 4052852991292

**Production Management Units (PMUs)**

<table>
<thead>
<tr>
<th>Product(s)</th>
<th>Unit name and address</th>
<th>Product Handling</th>
<th>Parallel Production</th>
<th>Parallel Ownership</th>
</tr>
</thead>
<tbody>
<tr>
<td>Beets, Brassica (Collards), Broccoli, Cabbage, Kale, Mustard, Spinach, Sweet Potatoes, Turnips, Turnip tops, Capsicums (Peppers), Pumpkins, Squash</td>
<td>NC Location 685 Burch Road, Faison, NC 28341 14483 C24 Canal Road, Port Saint Lucie, FL 34987</td>
<td>Yes</td>
<td>No</td>
<td>No</td>
</tr>
<tr>
<td>Brassica (Collards), Cabbage, Kale, Mustard, Turnips, Turnip tops</td>
<td>FL Location 14483 C24 Canal Road, Port Saint Lucie, FL 34987</td>
<td>Yes</td>
<td>No</td>
<td>No</td>
</tr>
</tbody>
</table>

GLOBALG.A.P. Number (GGN): 4052852991292
Producer Registration Number: FoodChain ID Certification US2229-1

Date of issuing: 16 Apr 2019
Valid from: 16 Apr 2019
Valid to: 31 Jan 2020

The current status of this certificate is always displayed at: [http://www.globalgap.org/search](http://www.globalgap.org/search)
Cert ID LC d/b/a FoodChain ID Certification (FCIDC)
500 N 3rd Street - Suite 204 Fairfield, IA 52556 - 641 209 1899 - [www.foodchainid.com/certification](http://www.foodchainid.com/certification)
June 28th, 2016
Burch Equipment LLC
685 Burch Road
Faison, NC 28341

RE: GLOBALG.A.P. Certification

To Whom It May Concern:

GLOBALG.A.P. is a business-to-business standard for safe and sustainable food production. The GLOBALG.A.P. Fruit & Vegetables Standard covers all stages of production, from pre-harvest activities such as soil management and plant protection product application to post-harvest produce handling, packing and storing. The standard integrates aspects of HACCP programs through mandatory record keeping, risk assessments, and documentation of procedures for the farming and product handling process.

As Burch Equipment’s accredited certification body for the GLOBALG.A.P. program, SCS Global Services conducted an on-site GLOBALG.A.P. inspection on December 10, 2015, during which the farm operations and harvesting process were observed. Burch Equipment (GGN# 4052852991292) is currently in good standing with GLOBALG.A.P and their current certification is valid until December 22nd 2016. If there are any questions regarding the status of Burch Equipment GLOBALG.A.P. Certification, please do not hesitate to contact me.

Sincerely,

Josh Edge

Josh Edge | Technical Manager
Food and Agriculture Division
JEdge@scsglobalservices.com
(510) 452-9083 direct
GLOBALG.A.P.®
CERTIFICATE

According to GLOBALG.A.P.®
General Regulations Version 5.2

Option 1 Individual

Issued to
Howell Farming
106 J and L Drive, Goldsboro NC 27530
United States

The annex contains details of the producers and production sites / product handling units included in the scope of this certificate.

WQS, LLC. declares that the production of the products mentioned on this certificate has been found to be compliant in accordance with the standard:

GLOBALG.A.P. CPCC Version 5.2

<table>
<thead>
<tr>
<th>Product</th>
<th>GlobalG.A.P. Product Certificate Number</th>
<th>Harvest Included</th>
<th>Product Handling Included</th>
<th>Number of producers</th>
<th>Parallel Production</th>
<th>Parallel Ownership</th>
</tr>
</thead>
<tbody>
<tr>
<td>Sweet Potatoes</td>
<td>00079- KHTKT-0002</td>
<td>Included</td>
<td>Facility</td>
<td>1</td>
<td>No</td>
<td>No</td>
</tr>
<tr>
<td>Watermelons</td>
<td>00079- KHTKK-0002</td>
<td>Included</td>
<td>Facility</td>
<td>1</td>
<td>No</td>
<td>No</td>
</tr>
</tbody>
</table>

Date of issue (printing date of certificate): 2019-10-30

Valid from: 2019-10-30

Valid to: 2020-09-30

Authorized by
RAQUEL CADENA

Date of Certification Decision: 2019-10-30

The current status of this certificate is always displayed at: https://globalgap.org/search
WQS, LLC.
7400 Carmel Executive Park Drive, Suite 120, Charlotte, NC 28226, USA
globalgap@wqscert.com
This certificate remains the property of WQS.

Doc. Code LC-FR-030 – Rev.# 000
ANNEX FOR GGN: 4059883722215
Date of Issue: 2019-10-30

Production Sites (Option 1 or 3)

<table>
<thead>
<tr>
<th>Site name and address</th>
<th>Product(s)</th>
<th>Parallel Production</th>
</tr>
</thead>
<tbody>
<tr>
<td>Howell Farming</td>
<td>Sweet Potatoes</td>
<td>No</td>
</tr>
<tr>
<td>106 J and L Drive</td>
<td>Watermelons</td>
<td>No</td>
</tr>
<tr>
<td>Goldsboro NC 27530</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Product Handling Units (PHUs)

<table>
<thead>
<tr>
<th>GGN or GLN</th>
<th>PHU name and address</th>
<th>Product(s)</th>
<th>Parallel Ownership</th>
</tr>
</thead>
<tbody>
<tr>
<td>4059883722215</td>
<td>Howell Farming Watermelon PHU</td>
<td>Watermelons</td>
<td>No</td>
</tr>
<tr>
<td></td>
<td>106 J and L Drive Goldsboro NC 27530</td>
<td></td>
<td></td>
</tr>
<tr>
<td>4059883722216</td>
<td>Howell Farming Sweet Potatoes PHU</td>
<td>Sweet Potatoes</td>
<td>No</td>
</tr>
<tr>
<td></td>
<td>106 J and L Drive Goldsboro NC 27530</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
GLOBALG.A.P. CERTIFICATE

J: Roland Wood Farms, Inc.
2500 Beasley Road
Benson, NC 27504
United States

According to GLOBALG.A.P.®
General Regulations Version 5.2
Option 1 - Individual producer

FoodChain ID Certification L.C. declares that the production of the products mentioned on this certificate have been found to be compliant in accordance with the standard:
GLOBALG.A.P.® Integrated Farm Assurance Control Points and
Compliance Criteria Version 5.2
GLOBALG.A.P.® The United States Interpretation Guideline
Integrated Farm Assurance Version 5.2

<table>
<thead>
<tr>
<th>Product</th>
<th>GLOBALG.A.P. Product Certificate No.</th>
<th>Number of producers / production sites</th>
<th>Harvest Included</th>
<th>Product Handling Included</th>
<th>Parallel Production</th>
<th>Parallel Ownership</th>
</tr>
</thead>
<tbody>
<tr>
<td>Sweet Potatoes</td>
<td>00078-NFLVX-0002</td>
<td>n/a</td>
<td>Yes</td>
<td>Yes</td>
<td>No</td>
<td>No</td>
</tr>
</tbody>
</table>

The Annex contains details of the producers and production management units/product handling units included in the scope of this certificate.

GLOBALG.A.P. Number (GGN): 4059883680577
Producer Registration Number: FoodChain ID Certification L.C. US2120-2

Date of issuing: 11 Dec 2019
Valid from: 11 Dec 2019
Valid to: 11 Nov 2020

Authorized by: Kathy Arevalo
Date of Certification Decision: 11 Dec 2019

The current status of this certificate is always displayed at: http://www.globalgap.org/search
FoodChain ID Certification L.C. (FCIDC)
500 N 3rd Street - Suite 204 Fairfield, IA 52556 - 641 209 1899 - www.foodchainid.com/certification
P1F14 GGAP Certificate v19.0 Sept 20 2019
ANNEX for GGN 4059883680577

Product Handling Units (PHUs)

<table>
<thead>
<tr>
<th>Product(s)</th>
<th>Unit name and address</th>
<th>Product Handling</th>
<th>Parallel Production</th>
<th>Parallel Ownership</th>
</tr>
</thead>
<tbody>
<tr>
<td>Sweet Potatoes</td>
<td>J. Roland Wood Farms, Inc.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>2500 Beasley Road</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Benson, NC 27504</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

GLOBALG.A.P. Number (GGN): 4059883680577
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P1F14 GGAP Certificate v19.0 Sept 20 2019
United States Department of Agriculture

USDA

Audit ID: 31937

2/14/2020

Cher, Audit Services Branch

Sweet Potatoes

House Packing Facility, Storage and Transportation

USDA Good Agricultural Practices & Good Handling Practices Audit

has successfully met USDA’s acceptance criteria of the voluntary

Nashville, North Carolina

Nash Produce, LLC

This is to verify that