Introduction to Produce Safety

for Hydroponic & Aquaponic Growers





The Food Safety Modernization
Act's Produce Safety Rule
(FSMA PSR) sets mandatory
standards for growing,
harvesting, packing,
and holding produce for
human consumption.

Farms that are covered by the FSMA PSR will be held to certain standards designed to reduce the presence of foodborne illness causing organisms that can contaminate produce. This factsheet outlines the requirements for covered farms and uses the word "must" when the practice is required by the FSMA PSR.

For farms that may not be subject to the FSMA PSR, it is important to consider the implementation of these practices to ensure your produce is safe.

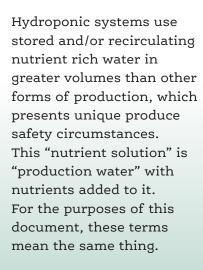
Introduction

Produce safety is meant to reduce and prevent contamination of produce with harmful human pathogens, such as bacteria, viruses, and parasites. This is done by controlling known risks. Other factors to be aware of include physical and chemical contamination, but this guide will focus on human pathogens.

Hydroponic and aquaponic production are unique, from a produce safety perspective, because of the way **water** is used, the specific constructed production **systems** in use, and how **people** are involved in the systems. Aquaponic systems have added produce safety considerations related to the inclusion of **fish**.



Water





NUTRIENT RICH

Water delivered to
the plants is full of
nutrients, since this
is the source of food
needed for plant growth
and crop production.
Since human pathogens
also depend on water
and food to survive and
reproduce, there is a
chance that this water
can be contaminated
with them.



ABUNDANCE

Water is central to plant growth in hydroponic production. This is true of other production systems, of course, but in hydroponic systems the potential for contact between production water and the edible portion of the crop is greater.



CIRCULATION

In most hydroponic systems, the nutrient solution is also circulated. This means that contamination in one place can quickly spread to other places and, perhaps, throughout the entire system.

Systems

Hydroponic production depends on constructed systems which introduce unique food contact surfaces in the growing environment.



FOOD CONTACT SURFACES

Because hydroponic production doesn't involve soil, other growing media and structural surfaces such as rafts and troughs are used. The media and support surfaces can become "food contact surfaces" due to the way plants grow and how the surfaces are handled. This requires special attention to these surfaces relative to cleaning and sanitizing. The abundance of

nutrient rich water and its circulation also leads to connectivity between different parts of the system. For example, any surface that the recirculated water contacts should be treated as a food contact surface if the water later has the potential to contact any harvested food, or other food contact surface. The nutrient solution connects all these surfaces.



MOVEMENT

Product movement is unique in hydroponic operations as well. Sometimes rafts and troughs are moved prior to harvest. Other times, the primary movement is at harvest time. Regardless of the timing, it is important to take care when moving parts of the production system to prevent water dripping and contacting food contact surfaces or the harvested portion of the crop. Common examples to consider are:

- lifting a raft for harvesting in such a way that it does not drip production water over produce, other rafts, or other food contact surfaces, and
- 2. lifting rafts out of the water in a way that prevents edges or corners from dipping into the production water, which can lead to contact between production water and produce or food contact surfaces.



CLEANING AND SANITIZING

Given the considerations above, it becomes clear that cleaning and sanitizing surfaces that contact food and water is critical for control of human pathogens (and plant pathogens). Unfortunately, many of these surfaces are not well designed for cleaning and sanitizing. So, there is a need to carefully document appropriate standard operating procedures (SOPs), provide appropriate cleaning and sanitizing tools and supplies, and think about continuous improvement through the application of hygienic design principles.





People

Due to continuous and high levels of production, hydroponic operations tend to involve significant human contact during the plant growth period. Growing surfaces are moved, water is managed, and harvest involves movement of product and surfaces by people.



PROCEDURES AND PRACTICES

Hydroponic production tends to involve significant human interaction during the period of plant growth and in other ways that can result in contamination. Moving germinated plants to production surfaces, moving production surfaces through the production system, managing water supply, water treatment, and harvest occurring in or near the production area all tend

to lead to human interaction with the system. Even cleaning and sanitizing of surfaces that contact food and water, when not done properly, can present risk. These activities should be carefully planned and described so that each person completes them without introducing the risk of contaminating the system with human pathogens.



PRODUCE SAFFTY TRAINING AND AWARENESS

There are three types of trainings that growers need to be aware of and provide to relevant personnel on the farm including 1) worker health and hygiene, 2) role specific produce safety training, 3) visitor awareness. Worker health and hygiene and role specific produce safety training must be provided to people who

touch produce or food contact surfaces, which may include visitors, volunteers and other farm personnel. Visitors who do not touch produce or food contact surfaces must be made aware of the farm's produce safety policy.

Summary

This guide is meant to provide an introductory overview of key produce safety considerations for hydroponic growers. The table below provides specific items to discuss with your team and to act on as you develop a produce safety culture and plan. More specific resources are available at go.uvm.edu/ponics.

FOR MORE RESOURCES
PLEASE VISIT:

go.uvm.edu/ponics

Nutrient Solution/Production Water

Produce Safety Factor	What You Can Do About It	Resources
Nutrient Solution / Production Water Quality	 Track water quality parameters over time Filter and/or treat nutrient solution Maintain farm hygiene / biosecurity 	- Controlled Environment Agriculture Water Circularity Guide
	Note: Resources for aquaponics or terrestrial aquaculture are often adaptable to hydroponic and/or aquaponic operations. For example, the Daily Water Quality Log for aquaponics can be adapted for hydroponics by removing the column headers that aren't relevant and adding in parameters that are.	 Water Treatment in Aquaponics Daily Water Quality Log Template Biosecurity in Aquaculture, Part 1: An Overview
Nutrient Solution / Production Water Contact with Produce	Prevent contact through system design and crop choice. If contact occurs, nutrient solution is agricultural water and must be maintained according to the FSMA Produce Safety Rule. If contact occurs during or after harvest, maintain water quality according to the more stringent requirements for postharvest agricultural water in the FSMA Produce Safety Rule.	- National Water Testing Lab Map
Nutrient Solution / Production Water Contact with Food Contact Surfaces	Prevent contact where possible. To mitigate, clean and sanitize the affected surfaces before using again.	- Cleaning and Sanitizing for Hydroponic and Aquaponic Operations

Cleaning and Sanitizing

Q READ THIS GUIDE: <u>CLEANING AND SANITIZING FOR HYDROPONIC AND AQUAPONIC OPERATIONS</u>

Produce Safety Factor	What You Can Do About It	Resources
Cleaning	☐ Effectively clean surfaces prior to sanitizing.	 Cleaning and Sanitizing Food Contact Surfaces PSA Factsheet: Cleaning vs Sanitizing
	 Establish and follow a cleaning schedule and use standard operating procedures (SOPs) to ensure cleaning happens as needed. Prevent cleaning activities from contaminating produce. For example, avoid using a pressure washer to remove algae from the production area floor while in production. Include buildings, transport equipment, trash, litter, and waste in a cleaning plan. Keep production and packing areas tidy to increase efficiency and reduce the risk of a pest infestation. 	 What are SOPs? Standard Operating Procedures
	Use the right tool for the job and consider color coding tools for specific tasks to prevent cross- contamination.	- Cleaning Tools for Produce Farms
Choosing a Sanitizer	 Streamline operations by using one product approved for all uses, being clear about different application procedures with labeling, training, and SOPs; or, Intentionally choose specific products for different uses and clearly communicate this approach with staff through training, labeling, and SOPs. 	 Introduction to Selecting an EPA-Labeled Sanitizer Labeled Sanitizers for Produce
	 Determine appropriate dose of sanitizer for the intended use. 	- Sanitizer Dose Calculator
	☐ Take care when measuring and dispensing sanitizers.	- Safely Dispensing Sanitizers

Cleaning and Sanitizing (continued)

Q READ THIS GUIDE: <u>CLEANING AND SANITIZING FOR HYDROPONIC AND AQUAPONIC OPERATIONS</u>

Produce Safety Factor	What You Can Do About It	Resources
Sanitizing	□ Identify the 4 zones: Food contact surfaces (Zone 1) and adjacent surfaces that could indirectly contaminate produce (Zone 2) are areas that should be prioritized for cleaning and sanitizing.	- Packinghouse Environmental Monitoring Programs: Identifying Packinghouse Zones Note: Resources for packing houses are often adaptable to hydroponic and aquaponic operations.
	Regularly inspect, maintain, clean, and, when possible, sanitize Zone 1 (food contact) surfaces.	- How to Clean and Sanitize
	 Establish a cleaning and sanitizing schedule tailored to your operation, making sure to prevent re-contamination of sanitized surfaces through other cleaning activities. 	What are SOPs?Standard Operating Procedures
	□ Use water of adequate and sanitary quality for diluting and applying sanitizers (must meet FSMA PSR requirements for postharvest agricultural water).	- Requirements for Harvest and Postharvest Agricultural Water

Harvest and Postharvest Handling

Q READ THIS GUIDE: HARVEST AND POSTHARVEST HANDLING FOR HYDROPONIC AND AQUAPONIC OPERATIONS

Produce Safety Factor	What You Can Do About It	Resources
Harvest	☐ Lay out the harvest process to reduce the potential for cross-contamination of produce, tools, and hands through production water.	- Planning an Efficient and Safe Wash/Pack Area Note: Resources for packing houses, like this one, are often adaptable to hydroponic and aquaponic operations.
	☐ When harvesting and packing crops with roots attached, consider steps to reduce the opportunity for cross-contamination between water and produce, such as using containers to keep roots separate. If water and crops come into contact, production water must contain no detectable generic <i>E. coli</i> in a 100 mL sample.	- <u>National Water</u> <u>Testing Lab Map</u>
Washing Produce	☐ Consider whether washing your produce is necessary for product quality. Most postharvest washing is done to remove soil and debris from the field, and in many HP/AP operations this is not a significant issue. Also, washing of harvested produce is not required by the FSMA PSR but can be a part of certain market requirements.	- Using Sanitizers in Wash Water Part 1: Reasons for Washing Fresh Produce
	☐ Use sanitizer when washing produce to prevent cross-contamination.	 Introduction to Selecting an EPA-Labeled Sanitizer Labeled Sanitizers for Produce Labeled Sanitizers for Produce: Video Tutorial
	☐ Manage and maintain wash water quality to ensure the effectiveness of the sanitizer.	 Using Sanitizers in Wash Water Part 3: Correct Use of Sanitizers Requirements for
Storage	 Clean and sanitize storage areas before use and on a regular schedule to prevent contamination of the produce. 	- Farm Cooler Checklist
	☐ Prevent condensation from dripping on to harvested produce.	- Managing Condensation in Coolers

Personal Health and Hygiene

Q READ THIS GUIDE: PERSONAL HEALTH AND HYGIENE FOR HYDROPONIC AND AQUAPONIC OPERATIONS

Produce Safety Factor	What You Can Do About It	Resources
Washing Hands	 Create an SOP that describes when and how workers must wash their hands. 	- <u>Handwashing SOP</u> <u>Template</u>
Metas In part of the part of t	Train personnel and check compliance with hand washing procedures regularly.	- Essentials of Food Safety for Farmworkers, Part 3: Everyday Practices to Prevent Foodborne Illness
	 Post instructional signs at handwashing sinks, in languages that personnel can understand. 	- Compliance Guide for Growers - Worker Training
	☐ Harvest is a key moment for potential cross- contamination through hands. If one person is doing multiple steps in the harvest, they must wash their hands before handling the produce. For plant health and biosecurity, it's a good idea to wash hands before and after touching system components, e.g., foam rafts, as well.	- <u>Printable Signage</u>
Visitors and Volunteers	□ Visitors or volunteers who interact with the system, especially edible or harvestable portions of produce, must be fully trained in the farm's food safety procedures as if they were a regular employee doing the same job.	 Essentials of Food Safety for Farmworkers, Part 3: Everyday Practices to Prevent Foodborne Illness Compliance Guide for Growers - Worker Training Printable Signage Produce Safety Rule: Required Training for Covered Farms
	□ Visitors who don't touch the production system must be made aware of the farm's food safety policy.	- Food Safety Modernization Act: Visitors
Sick Personnel	Train workers in health and hygiene practices and do not let sick personnel handle produce or touch food contact surfaces.	 Starting a Produce Safety Worker Training Program on Your Farm Compliance Guide for Growers - Worker Training
	☐ Provide paid sick leave for workers with gastrointestinal illness , or provide alternative work assignments that do not involve handling produce or food contact surfaces, to limit the chance that produce becomes contaminated.	- Essentials of Food Safety for Farmworkers

Wildlife and Domesticated Animals

Q READ THIS GUIDE: WILDLIFE AND DOMESTICATED ANIMALS IN HYDROPONIC AND AQUAPONIC OPERATIONS

Produce Safety Factor	What You Can Do About It	Resources
Pest Animals	 Conduct and log regular scouting for animal damage to crops and other signs of intrusion, including feces. 	- Food Safety Modernization Act: Pest Management
	 Conduct preharvest crop assessments to look for evidence of potential animal contamination in open-air and partially-enclosed operations. 	- Wildlife & Animal Management Decision Tree
	 Exclude pest animals in fully-enclosed (indoor) operations. 	- Hydroponics - Pest Control Strategies - Rats (and other rodents)
	 Place rodent bait boxes, when used, on the exterior, not interior, of structures. 	
	 Keep a mowed buffer around a greenhouse or building to reduce animal and insect pest pressure. 	

Audits

Produce Safety Factor	What You Can Do About It	Resources
Audits	□ Plan out audits over time based on market and operational requirements.	- What is a GAP Audit? Do I need one on my farm?

Fish: Aquaponic-Specific Considerations

In addition to water, systems, and people, as described above, aquaponic operators must also consider the potential produce safety impacts of fish. In an aquaponic operation, the production of fish and produce are connected through recirculating water. Practices that promote a healthy aquatic and handling environment for fish also tend to promote produce safety.

Q READ THIS GUIDE: FISH HEALTH AND HANDLING FOR HYDROPONIC AND AQUAPONIC OPERATIONS

Produce Safety Factor	What You Can Do About It	Resources
Aquaponics: Fish Care for Produce Safety	☐ Support healthy fish to reduce produce safety risk.	- Aquaponics Produce Manual
	 Design the fish unit to actively manage solid waste so that it doesn't accumulate in system components. 	- Aquaponics Produce Manual - Solids Filtration
	 Start with fish that have been quarantined and a system that has been thoroughly cleaned and sanitized. 	- Biosecurity in Aquaculture, Part 1: An Overview
	 Establish standard operating procedures (SOPs), especially around hygiene and biosecurity, to ensure that human-system interaction does not become an opportunity for cross-contamination between the outside environment, the system, and produce. 	
	☐ Track water quality parameters as a component of routine fish health assessment.	- Aquaponics Produce Manual - Water Quality



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