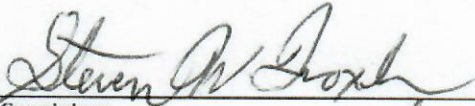


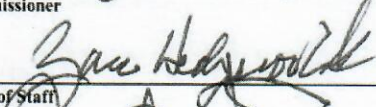
FY2021 N.C. Department of Agriculture and Consumer Services Audit Services Division Annual Internal Audit Plan

Project Name	Engagement Type	Status	Division	Preliminary Objective(s)
Standards Metrology Lab Receipts Follow-Up Audit	Fiscal Control	NP	Standards	To determine if actions taken to implement controls over Metrology Lab receipts have remained in place.
Annual Mountain State Fair	Integrated	AP	Marketing	To determine the effectiveness of procedures placed in operation for payments made for the annual Fair. To determine the effectiveness of procedures placed in operation to ensure compliance with the carnival vendor's contract. To assess the annual Fair's performance with respect to past years' performance.
IT Disaster Recovery Planning	Information Technology	CF	Information Technology Services	To determine if the Information Technology Services Division has developed an effective disaster recovery plan/approach for recovering key Department IT assets.
Annual N.C. State Fair	Integrated	AP	N.C. State Fair	To determine if the N.C. State Division has implemented sound contract management practices for its major third party service agreements.
Payroll Section	Fiscal Control	CF	Budget and Finance	To determine if the Budget and Finance Division has implemented appropriate internal controls to reduce the risk of fraud and overpayments within the payroll process.
Forest Management and Development Branch Cost Share Program	Operational	NP	N.C. Forest Service	To determine if the Forest Management and Development Branch has implemented a fair process for awarding cost share program funding.
Annual Mountain State Fair	Follow-Up	NP	Marketing	To determine the status of open high risk audit observations and the impact of actions taken on identified risks.
Annual N.C. State Fair	Follow-Up	NP	N.C. State Fair	To determine the status of open high risk audit observations and the impact of actions taken on identified risks.
Industrial Hemp Program	Follow-Up	NP	Plant Industry	To determine the status of open high risk audit observations and the impact of actions taken on identified risks.
WNC Farmers Market	Follow-Up	NP	Marketing	To determine the status of open high risk audit observations and the impact of actions taken on identified risks.
EAGLE Work	Special Project	AP	Multiple	To assist the Budget and Finance Division with performing an annual assessment of internal control over financial reporting and compliance.
Internal Quality Assurance Review	Self-Assessment	AP	Audit Services	To evaluate conformance with the IIA's Code of Ethics and the IIA's Standards.
Annual Risk Assessment/Audit Planning	Risk Assessment	AP	Multiple	To develop a risk based audit plan.

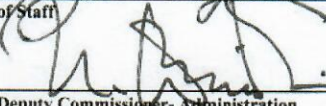
Acronym Definitions:
AP= Annual Project
CF= Carry Forward Project from Prior Year
NP= New Project


Commissioner

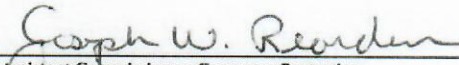
7-8-2020
Approval Date


Chief of Staff

Approval Date


Chief Deputy Commissioner- Administration

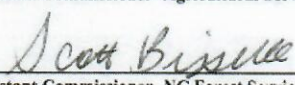
7-6-2020
Approval Date


Assistant Commissioner- Consumer Protection

7-8-2020
Approval Date


Assistant Commissioner- Agricultural Services

7-8-2020
Approval Date


Assistant Commissioner- NC Forest Service

7-8-2020
Approval Date


Chief Audit Officer

7-13-2020
Approval Date